HAND DELIVERED

						-
	ED STA	LEGISLATIVE RECOURSE				
						2011 MAY 13 PM 1:
		Robert E. Andrews			(856) 546-5100	341. 1 3 002
		(Full Name)			(Daytime Telephone)	(Office Use Only) ESENTAT
Filer Statu	· 🗷	Member of the U.S. State: NJ House of Representatives District: 01			cer Or Employing Office: ployee	A \$200 penalty shall be assessed against anyone who files
Report Type Annual (May 15) Amendment			☐ Tem	nination	Termination Date:	more than 30 days late.
PRELI	MINARY	INFORMATION - ANSWER EAC	H OF THES	E QU	ESTIONS	
l or mo If yes	re from any so s, complete a	use have "earned" income (e.g., salaries or fees) of \$20 surce in the reporting period? and attach Schedule I.	Yes 🕢 No	□ VI.	exempt)? If yes, complete and attach Schedule VI.	otherwise Yes No 🗹
II. you fo	or a speech, ap	r organization make a donation to charity in tieu of payl spearance, or article in the reporting period? and attach Schedule II.	-	₹ VII	Did you, your spouse, or a dependent child receive any reports reimbursements for travel in the reporting period (worth more from one source)? If yes, complete and attach Schedule VII.	
III. more	than \$200 in th than \$1,000 at	e, or a dependent child receive "unearned" Income of he reporting period or hold any reportable asset worth the end of the period? and attach Schedule III.	Yes 🔽 No	□ VII	Did you hold any reportable positions on or before the date of a current calendar year? If yes, complete and attach Schedule VIII.	Ning in the Yes 🔲 No 🗹
IV. report	ou, your spousi table asset in a 17	e, or dependent child purchase, sell, or exchange any transaction exceeding \$1,000 during the reporting	Yes No	y IX.	Did you have any reportable agreement or arrangement with are entity?	routside Yes 📋 No 🗹
Did yo	u, your spous	ind attach Schedule IV. e, or a dependent child have any reportable liability (m i the reporting period?	ore Yes 🕢 No [If yes, complete and attach Schedule IX. Each question in this part must be answere	d and the appropriate
If yes	, complete a	nd attach Schedule V.			schedule attached for each "Yes" response	
EXCLU	ISION OI	F SPOUSE, DEPENDENT, OR T	RUST INFOR	RMATI	ON - ANSWER EACH OF THESE QUE	STIONS
Trus		Details regarding "Qualified Blind Trusts" app	roved by the Con	ım ittee d	on Ethics and certain other "excepted trusts" need not benefiting you, your spouse, or dependent child?	
Exer	mptions				ne, transactions, or liebilities of a spouse or dependent of unless you have first consulted with the Committee on E	

SCHEDULE I - EARNED INCOME

Name Robert E. Andrews

Page 2 of 5

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Туре	Amount
Rutgers Law School	Spouse Wages/Compensation	N/A
Context Capital Partners	Spouse Wages/Compensation	N/A
AYCO Charitable Foundation, New York	Spouse Wages/Compensation	N/A
Hill International	Spouse Wages/Compensation	N/A

SCHEDULE III - ASSETS AND "	UNEARNED"	INCOME
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		1				-
Asset and/or income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for Investment, provide a complete address. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.		Pear-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."		BLOCK C Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D Amount of Incom For retirement accounts the do not allow you to choose specific investments or the generate tax-deferred incomplete (such as 401(k) plans or iRAs), you may check the "None" column. For all off assets, indicate the categor of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Chec "None" if no income was earned or generated.	indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
vacation t	Your personal residence, including second homes and nomes (unless there was rental income during the reporting my dence to the totaling \$5.000 or local in a normanal checking or Citizens Bank of Pennsylvania Checking Account	1 '	5,001 - 0,000	INTEREST	\$1 - \$200	
	Hill International Stock	\$50	,,000 ,,001 - ,0,,000	DIVIDENDS	NONE	
SP	Met Life Defined Contribution Plan, Hartford, CT (through Rutgers University ABP 401A Plan)		50,001 - 90,000	None	NONE	
SP	Morgan Stanley Retirement Money Market		001 - i,000	None	NONE	

Name Robert E. Andrews

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SCHED	CHEDULE III - ASSETS AND "UNEARNED" INCOME			rt E. Andrews	Page 4 of 5	
	· 1		5,001 -),000	None (remainder interest)	NONE	
JT	PNC National Bank Checking	1	5,001 <i>-</i> 0,000	INTEREST	\$1 - \$200	
SP	Stock-PEPCO Holdings		001 - 5,000	DIVIDENDS	\$1 - \$200	
SP	TD Bank Checking Account (joint account with Phyllis M. Wolf, Member's mother-in-law)		001 - 5,000	INTEREST	\$1 - \$200	
JT	The Hershey Company	\$1	- \$1,000	DIVIDENDS	\$1 - \$200	
	Verizon Stock		.001 - 5,000	DIVIDENDS	\$1 - \$200	

SCHEDULE V - LIABILITIES

Name Robert E. Andrews

Page 5 of 5

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	American Express PO Box, 1270, Newark, NJ, 07101-1270	December 2010	Credit Card	\$15,001 - \$50,000

.		1 FALO.	
UNITED STATES HOUSE OF REPRESEN	ITATIVES	FORM A Page 1 of 5	ATIVE RESOURCE CENTER
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STAT		For use by Members, officers, and employees!!	MAY 13 PM 5:58
		U.S. NÖ	FIGE OF THE CLERK ISE OF REPRESENTATIVES
Christopher H. Smith		202-225-3765	
(Full Name)	•	(Daytime Telephone)	(Office Use Only)
Filer Member of the U.S. State: NJ	_□ of	fficer Or Employing Office:	A \$200 penalty shall
Status House of Representatives District: 04	1 1 1	mployee	be assessed against
		Termination Date:	anyone who files
Report Type Annual (May 15) Amendment	☐ Terminatio		more than 30 days
PRELIMINARY INFORMATION ANSWER EACH			late.
Did you or your spouse have "earned" income (e.g., salaries or fees) of \$20			halo milli ku
or more from any source in the reporting period?		Did you, your spouse, or a dependent child receive any reports the reporting period (i.e., aggregating more than \$335 and not of	therwise Yes No 📝
If yes, complete and attach Schedule I.		exempt)? If yes, complete and attach Schedule VI.	
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?	Yes No V	Did you, your spouse, or a dependent child receive any reports /ii. or reimbursements for travel in the reporting period (worth mor	
If yes, complete and attach Schedule II.		from one source)? If yes, complete and attach Schedule VII.	Yethan \$335 Yes 🔽 No 🗌
Did you, your spouse, or a dependent child receive "unearned" income of		Did you hold any reportable positions on or before the date of f	-
more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?	Yes 🔽 No 🔲 🔻	/III. current calendar year?	Yes 📋 No 📝
If yes, complete and attach Schedule III. Did you, your spouse, or dependent child purchase, sell, or exchange any	-	If yes, complete and attach Schedule VIII. Did you have any reportable agreement or arrangement with a	outeide
IV. reportable asset in a transaction exceeding \$1,000 during the reporting period?	Yes ☑ No ☐ D	entity?	Yes 🗌 No 🗹
If yes, complete and attach Schedule IV.		if yes, complete and attach Schedule IX.	
Did you, your spouse, or a dependent child have any reportable liability V. (more than \$10,000) during the reporting period?	Yes No 🗸	Each question in this part must be answere	d and the
If yes, complete and attach Schedule V.		appropriate schedule attached for each "Ye	
EXCLUSION OF SPOUSE, DEPENDENT, OR TRU	ST INFORMATI		
		Ethics and certain other "excepted trusts" need not be disck	
Have you excluded from this report details of suc	h a trust benefiting you,	your spouse, or dependent child?	Yes No 🗸
Exemptions— Have you excluded from this report any other ass	nata Ilimaana Alkaana	formanting or lightiffice of a province of december 1.	
		, transactions, or liabilities of a spouse or dependent child niess you have first consulted with the Committee on Ethics.	Yes 🔲 No 🔽

Yes 🗌 No 🗸

SCHEDULE I - EARNED INCOME

Name Christopher H. Smith

Page 2 of 5

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Туре	Amount	
Life Issues Institute, Inc.	Spouse Salary 1/1/10-2/28/10	N/A	
Gospel of Life Ministries	Spouse Salary 3/1/10 - 12/31/10	N/A	

SCHEDULE III - A	ASSETS AI	ND "UNEARNED"	INCOME
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Valley Forge, PA 19482

Vanguard Money market Fund (IRA) Inherited

SCHEDULE III - ASSETS AND UNEARNED INCOME	Name Christopher H. Smith				Page 3 of 5
Asset and/or income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts;	Vall at clo year. valua than t pleas meth asset includ is ger	BLOCK B Year-End lue of Asset se of reporting if you use a tion method other fair market value, e specify the od used. If an was sold and is tied only because it herated income, alue should be e."	Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or iRAs you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capit gains, even if reinvested, mus be disclosed as income. Check "None" if no income was earned or generated.	indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
ING Direct PO Box 60, St. Cloud MN 56302	\$36	8	INTEREST	\$753	P,S
Vanguard Brokerage IRA PO Box 2600	Non	е	CAPITAL GAINS	\$863	P,S

\$45,599

DIVIDENDS

\$27

SCHEDULE IV - TRANSACTIONS

Name Christopher H. Smith

page 4 - 9 5

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	ING Direct PO Box 60 St. Cloud, MN 56302	Р	No	2/23/10	\$10,000
JT	ING Direct PO Box 60 St. Cloud, MN 56302	s	No	6/1/10	\$17,000
JT	ING Direct PO Box 60 St. Cloud, MN 56302	S	No	9/7/10	\$90,000
JT	Vanguard Brokerage (IRA inherited) 50 Shares SPDR Gold Trust	Р	No	8/17/10	\$5,998
JT	Vanguard Brokerage (IRA inherited) 50 Shares SPDR Gold Trust	S	Yes	12/29/10	\$6,861
JT	Vanguard MoneyMarket Fund (IRA, Inherited) PO Box 2600 Valley Fore, PA 19482	S	No	9/7/10	\$2,500

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Christopher H. Smith

Page 5 of 5

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure DestinationPoint of Return		Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Roman Catholic Archdiocese of Seoul, South Korea	Feb. 15-20	DC-Seoul-DC	Y	Υ	Υ	0 Days

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATE	MENT	Form A For use by Members, officers, and employees	HAND DE	
	Daytime	Telephone: 202-225-4465	2011 HAY 16 0FF: L U.S. HOUSE(Office)	PM 3: 06
Filer Status Member of the U.S. State: N 5 House of Representatives District: Amendment Amendment	Officer Employ			shall be assessed ho files more than
PRELIMINARY INFORMATION — ANSWER EACH	OF THES	SE QUESTIONS		
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I. Yes	No 🔽	VI. Did you, your spouse, or a dependent child reportable gift in the reporting period (i.e., agg than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	receive any regating more	es No /
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	No 🔽	VII. Did you, your spouse, or a dependent child reportable travel or reimbursements for travel in period (worth more than \$335 from one source if yes, complete and attach Schedule VII.	n the reporting 🕡	es No
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	No 🗌	VIII. Did you hold any reportable positions on of filing in the current calendar year? If yes, complete and attach Schedule VIII.	or before the date	es No /
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	No 🗌	IX. Did you have any reportable agreement or an outside entity? If yes, complete and attach Schedule IX.	arrangement with	es No
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes If yes, complete and attach Schedule V.	No 🖊	Each question in this part appropriate schedule attache		
EXCLUSION OF SPOUSE, DEPENDENT, OR TRUS	T INFOF	RMATION — ANSWER <u>EACH</u> O	F THESE QUE	STIONS
TRUSTS —Details regarding "Qualified Blind Trusts" approved by the Committee excluded from this report details of such a trust benefiting you, your spouse, or			closed. Have you	es No 🗸
EXEMPTION —Have you excluded from this report any other assets, "unearned they meet all three tests for exemption? Do not answer "yes" unless you have fit				es No 🗸

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Name Sco77 GARNETT Page of_

BLOCK A					Е	3LO	CK E	3									BLO	CK	C					BL	OCI	K D					BLOCK	
Asset and/or Income Source				٧	alu	e o	f A	SSE	ŧ						T	урє	e of	i In	come			Α	mo	un	t of	f In	cor	ne			Transact	ion
Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.	re m	por eth	ate rting od se sp	ye othe	ar. er t	lf y han	ou i faii	use r m	a arke	valu et v	uatio	on	ret yo tha (su	tiren u to at g uch	nent cho gene as 4	acc ose rate 401(oun spe ta k) p	ts ti cific x-de dan:	that apply. For hat do not allow c investments <u>or</u> eferred income s or IRAs), you	yo tha as the	u to at ge 401 e "N	ch ener I(k) ¡ one'	oos ate plan " coi	e sp tax- is or lumr	defe IR <i>A</i> 1. Fo	ts the fic in erred (s), y or al	inces ince ou out	tme ome may ner a	nts (su che isse	or ich ick its,	Indicate if t asset had purchases sales (S), o	(P),
Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k)	yе	ear	asse and	l is	inc	lud	ed o	only	be	cau	ıse	it	Di ga	vide ins,	end: , eve	s, i en i	nte: f re	rest inve	None" column. t, and capital ested, must be c. Check "None"	ch Di	ecki vide	ing e nds	the , In	ap tere	prop st, a	ry c oriat and nust	e b cap	ox Ital	belc gai r	w. 18,	exchanges exceeding	(E)
plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retire- ment accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.	- N	ene Von	rate e."	d in	con	ne,		val.	ie s	_	ıld t	e L	if t	he a		t ge	nera	ated	l no income dur-	as	inc	arne	e. C	hec ger	k "N nera	lone	" if	no ii		ne	\$1,000 in reporting year	
For rental or other real property held for investment, provide a complete address.																			ome)												portion of a asset is sol please indi-	ld,
For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.								. !						, .					or Farm Income)												as follows: (S) (partia	al)
Exclude: Your personal residence, including second homes and vacation homes (<i>unless</i> there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.			00	000	\$100,000	- \$250,000	- \$500,000	\$1,000,000	- \$5,000,000	\$25,000,000	\$50,000,000	90				-	S	IND TRUST	Other Type of Income Specify: e.g., Partnership Income or				0	0	8	000	0000	\$1,000,000	6,000,000	00	See below example.	tor
If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	None	\$1 - \$1,000	\$1,001 - \$15,000	\$15,001 - \$50,000		100	\$250,001 - \$50	\$500,001 - \$1,	\$1,000,000,	**	\$25,000,001 -	Over \$50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: e.g., Partnershi	None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 – \$50,000	\$50,001 - \$100,00\$	\$100,001 - \$1,	\$1,000,001 - \$5,000,000	Over \$5,000,000	S, E	
SP, SP Mega Corp. Stock		-	t	7	Х					1				X			х						Х								S (partia	al)
OC, Examples: Simon & Schuster		In	defir	ite								****							Royalties						****			Х				
JT 1st Bank of Paducah, KY Accounts	▙	<u>.</u>				X	_	-	_						Х								÷.		X						,	$\overline{}$
Dreyfus Appreciation fund		_			Χ					_		-		X			×					X									5 (parti	al)
1. Basic SEP "		<u> </u>			X									X			×					Χ										
" Disciplineo Stock"					X									X			Х					X										
LAKE LAND BANK (CHECKING)			X													X					X						` `		, i			
" " (STOCK)				X										X								X										
		_	_					-	_						_	_				_		_		_		_	_	_	_			

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Scurr Grancer Page of

	BLOCK A Asset and/or Income Source				Va		ar-	K B En	d	et								Ty In	рe				An	nou		ock of		;on	ne			BLOCK E Transaction
SP, DC, JT		A	\$1 - \$1,000	5,000	0	\$50,001 - \$100,000		\$250,001 – \$500,000			\$5,000,001 - \$25,000,000 -	000,000,	Over \$50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	200	000	\$1,001 - \$2,500 <		-		\$50,001 - \$100,000		\$1,000,001 - \$5,000,000 ×	Over \$5,000,000	P, S, E
	TRAVELLES AVALLETA		-		X	-		7	47	7	**	37	,,,,,	X			-	J	_	0011	X		"	7	<i>"</i>		7	-	\dashv	\dashv	_	
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	NOBEL BANK (CHECKIAS) (NOW HISHIMM DANK)			X		\dashv	-	\dashv	-	\dashv	_	\dashv	-				X					X			\dashv		\dashv	\dashv	\dashv	\dashv		
	Nobel BANK (SAVINGS)			X		+		+	\dashv	-	\dashv	+	1				X						X				十	-	\dashv		\dashv	
	(Now High LAM BANK)			_	-	十		十		+	_	十	7				^						^				\dashv	7	\dashv		╛	
	Dreyfus Money MARKET		X												X							X							\exists		\Box	
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SCHEDULE IV— TRANSACTIONS

Name Scorr Granem Page_of_

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that		Type ansac			Date		·	Am	oun	t of T	Γran	sacti	ion		
resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so Indicate (i.e., "partial sale"). See example below. Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.	PURCHASE	SALE	EXCHANGE	Check Box if Capital Gain Exceeded \$200	(MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	\$1,001- \$15,000	\$15,001- \$50,000	\$50,001- \$100,000	\$100,001- \$250,000	\$250,001- FR	\$500,001- \$1,000,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001-	Over \$50,000,000
SP, DC, JT SP Example: Mega Corporation Common Stock (partial sale)		X			10–12–10		Х) (1)	
Dreyfus App funo		X			9-3-10		x								
11 Disc Szen form (None)						Ţ.	. <u>.</u>			, /					
PRINCIPAL MID CAP GROWTH FUND	<u> </u>	X			1-1-10		X								
Fidady Levy Co Stk	X				1-1-10	X						X. 6 vo.			
Vingrid Expir Inv	X				1-1-10	X									
Hussman Strategie Growth Fund	X				9-3-10		X								1
Hussman Strategic Total Return Fund	X		3		9-3-10		X								
J															
	1														
						·									
			11												

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	5077	<u> </u>	

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination— City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days <u>not</u> at sponsor's expense
Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
Roycroft Corporation	Aug. 6–11	DC-Los Angeles-Cleveland	Υ	Υ	Y	2 Days
H ISRAEL EQUATION JOHNA	1/4-1/10	DC-JENUSALEM -> DC	У	Υ	Y - Wife	Non
for GAUNTA	3/4-3/6	DC - PALM BEACH, FLOW - DC	Y	Υ	y-wife	NONA
,		,		,		
						!
	·					
					,	
	Chicago Chamber of Commerce Roycroft Corporation	Chicago Chamber of Commerce Mar. 2 Roycroft Corporation Aug. 6–11	Chicago Chamber of Commerce Mar. 2 DC—Chicago—DC Roycroft Corporation Aug. 6–11 DC—Los Angeles—Cleveland	City of Return (Y/N) Chicago Chamber of Commerce Mar. 2 DC—Chicago—DC N Roycroft Corporation Aug. 6–11 DC—Los Angeles—Cleveland Y	City of Return (Y/N) (Y/N) Chicago Chamber of Commerce Mar. 2 DC—Chicago—DC N N Roycroft Corporation Aug. 6–11 DC—Los Angeles—Cleveland Y Y	City of Return (Y/N) (Y/N) Member Included? Chicago Chamber of Commerce Mar. 2 DC—Chicago—DC N N N Roycroft Corporation Aug. 6–11 DC—Los Angeles—Cleveland Y Y Y

UNITED STATES HOUSE OF REPRESENCE CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATE	_	FORM A Page 1 of 14 For use by Members, officers, and employees	HAND DELIVERED
			EBIST WINE becaused CENTIA
Frank Pallone, Jr (Full Name)		202-225-4671 (Daytime Telephone)	2011 MAY 13 PM 4: 09 MC (Office Use Only)
Filer Status Member of the U.S. State: NJ House of Representative District 6 Report Type Annual (May 15) Amendment		ficer Or Employing Office nployee Termination Date n	A \$200 penalty shall ^{AT} VES be assessed against anyone who files more than 30 days late.
PRELIMINARY INFORMATION ANSWER EAC	H OF THESE QL	JESTION	
Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes V No	Did you, your spouse, or a dependent child receive any reportation the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI. Did you, your spouse, or a dependent child receive any reportation travel or reimbursements for travel in the reporting period (worthan \$335 from one source)? If yes, complete and attach Schedule VII. Did you hold any reportable positions on or before the date of the current calendar year? If yes, complete and attach Schedule VIII. Did you have any reportable agreement or arrangement with an entity? If yes, complete and attach Schedule IX. Each question in this part must be answere appropriate schedule attached for each "Ye	ot Yes No Delible No Ves No Ve
EXCLUSION OF SPOUSE, DEPENDENT, OR TR			
disclosed. Have you excluded from this report Exemptions Have you excluded from this report any other a	t details of such a trust	on Ethics and certain other "excepted trusts" need not benefiting you, your spouse, or dependent child? me, transactions, or liabilities of a spouse or dependent "yes" unless you have first consulted with the Committee	Yes No 🗸

SCHEDULE	I - EARNED	INCOME
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Name Frank Pallone, Jr

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Туре	Amount
US EPA	Spouse Salary	N/A

	BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
identify (a) with a fair period, and generated Provide co ticker symi For all IRA self-directe exercised, each asset For retiren name of th the reporti For rental complete a For an own publically (activities, a	set and/or Income Source leach asset held for investment or production of income market value exceeding \$1,000 at the end of the reporting d (b) any other reportable asset or sources of income which more than \$200 in "unearned" income during the year. Implete names of stocks and mutual funds (do not use bols.) Is and other retirement plans (such as 401(k) plans) that are ed (i.e.,plans in which you have the power, even if not to select the specific investments), provide the value for theld in the account that exceeds the reporting thresholds. The inent accounts which are not self-directed, provide only the le institution holding the account and its value at the end of the nor other real property held for investment, provide a	Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax- deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
SP	AIM Global Real Estate - IRA	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
DC	Am Cent Growth	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
SP	Am. Cent. Vista Fund - IRA	None	None	NONE	S
DC	American Centy Invt Div Bd fd inst cl	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	Р
DC	Artio Int'I	None	None	NONE	S
SP	Artio International - IRA	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	P

SCHED	ULE III - ASSETS AND "UNEARNED" IN	ICOME	Name Fra	nk Pallone, Jr		Page 4 of 14
DC	Artisan Fund Small Cap Value		001 - 5,000	DIVIDENDS	\$1 - \$200	P
SP	Black Rock Large Cap - IRA	No	ne	DIVIDENDS	\$1 - \$200	S
SP	Blackrock Int'l Opp Fund - IRA	No	ne	DIVIDENDS	\$1 - \$200	S
SP	Blair Williams Funds Int'l - IRA		001 - 5,000	DIVIDENDS	\$201 - \$1,000	
SP	Brandywine blue fd I		001 - 5,000	DIVIDENDS	\$1 - \$200	P
SP	Cambier Oppty Fund		001 - 5,000	DIVIDENDS	\$1 - \$200	S(part)
JT	Cisco Systems Stock	Noi	ne	None	NONE	S
DC	Cohen & Steers Realty	No	ne	DIVIDENDS	\$1 - \$200	S
SP	Diamond Hill Fund - IRA	Noi	ne	DIVIDENDS	\$1 - \$200	S
DC	Dodge and Cox Fund (Int'l Stock Fund)		001 - 5,000	DIVIDENDS	\$1 - \$200	
DC	Dodge and Cox Income Fund	1	001 - 5,000	DIVIDENDS	\$201 - \$1,000	S(part)
SP	Dreyfus Appreciation FD INC -		001 - 5,000	DIVIDENDS	\$1 - \$200	Р
DC	Dreyfus Emerging mkts fd cl I		001 - 5,000	DIVIDENDS	\$1 - \$200	Р
SP	DWS value ser inc small cap value fd cl s - IRA	•	001 - 5,000	DIVIDENDS	\$1 - \$200	Р

SCHEDULE III - ASSETS AND "UNEARNED" INCOME			Name Fran	k Pallone, Jr	one, Jr		
DC	EV Boston Income Fund	, ,	001 <i>-</i> 5,000	DIVIDENDS	\$201 - \$1,000		
DC	Fidelity Short Fixed Fund		001 - 5,000	DIVIDENDS	\$1 - \$200	S(part)	
DC	First Amer Invt Fds Inc Real Est secs fd cl Y	•	001 - 5,000	DIVIDENDS	\$1 - \$200	P	
	Franklin Dynatech - Roth IRA		001 <i>-</i> 5,000	None	NONE		
SP	Franklin Dynatech Fund - Roth IRA		001 - 5,000	None	NONE		
SP	GE Stock - IRA	1 '	5,001 <i>-</i> 0,000	DIVIDENDS	\$201 - \$1,000		
SP	Goldman Money Fund - IRA		001 <i>-</i> 5,000	DIVIDENDS	\$1 - \$200	Р	
DC	Goldman Sachs MMF		001 <i>-</i> 5,000	DIVIDENDS	\$1 - \$200	PS(part)	
SP	Hancock Classic Value Fund - IRA		001 - 5,000	DIVIDENDS	\$1 - \$200	S(part)	
DC	Harbor Cap App Fund		5,001 -),000	DIVIDENDS	\$1 - \$200	Р	
C	Harbor Int'l Fund	1 -	001 - 5,000	DIVIDENDS	\$1 - \$200		
SP	Harbor Int'l Fund - IRA	1	001 <i>-</i> 5,000	DIVIDENDS	\$1 - \$200	Р	
SP	Hotchkiss & Wiley Fund - IRA		001 - 5,000	DIVIDENDS	\$1 - \$200	S(part)	
JT	iShares Softward Index ETF	No	ne	None	NONE	S	

CHED	ULE III - ASSETS AND "UNEARNED" II	NCOME	Name Fran	k Pallone, Jr		Page 6 of
SP	Lauder Estee Stock - IRA		001 <i>-</i> 5,000	DIVIDENDS	\$1 - \$200	
SP	Legg Mason Value TR Fund	1	001 - 5,000	None	NONE	S(part)
SP	Managers Time SQ MD Cap Fund - IRA		001 - 5,000	None	NONE	:
DC	Morgan JP Core Bond	•	5,001 - 9,000	DIVIDENDS	\$201 - \$1,000	Р
SP	Morgan Stanley instl fund trust mid cap growth port class I - IRA		001 - 5,000	DIVIDENDS	\$1 - \$200	Р
DC	Munder Mid Cap Fund		001 - 5,000	DIVIDENDS	\$1 - \$200	S(part)
SP	Nuveen Tradewinds Funds - IRA	Noi	ne	DIVIDENDS	\$1 - \$200	S
SP	Oppenheimer dev mkts cl Y - IRA		001 - 5,000	DIVIDENDS	\$1 - \$200	Р
JT	Pheonix Cos. Stock	Noi	ne	None	NONE	S
DC	PIMCO Commodity Real Return	. ,	001 - 5,000	DIVIDENDS	\$201 - \$1,000	••
SP	PIMCO Commodity Real Return - IRA		001 - 5,000	DIVIDENDS	\$201 - \$1,000	
DC	Pimco Total Ret Fund		001 <i>-</i> 5,000	DIVIDENDS	\$201 - \$1,000	S(part)
DC	Pioneer Cullen Value		5,001 - 0,000	DIVIDENDS	\$201 - \$1,000	P
DC	Pioneer Fund	Noi	ne	DIVIDENDS	\$1 - \$200	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME			Name Fran	nk Pallone, Jr		Page 7 of 14	
DC	Pioneer Oak Small Cap Fund	\$1,0 \$15,		None	NONE	P	
DC	Royce Value Plus	\$1,0 \$15,		DIVIDENDS	\$1 - \$200		
SP	RS Emg Mkts Fund (formerly ParkAve Fund) - IRA	\$1,0 \$15,		DIVIDENDS	\$1 - \$200	:	
SP	Security Mid Cap Fund	\$1,0 \$15,		DIVIDENDS	\$1 - \$200	P	
SP	Sentinel mut funds small company class I - IRA	\$1,0 \$15,		None	NONE	Р	
SP	Texas Inst Stock - IRA	\$1,0 \$15,		DIVIDENDS	\$201 - \$1,000	·	
SP	Touchstone Inst Fund- IRA	\$1,0 \$15,		DIVIDENDS	\$1 - \$200	S(part)	
SP	Unilever PLC Stock - IRA	\$1,0 \$ 15,		DIVIDENDS	\$201 - \$1,000		
DC	Verizon (gift from grandparent in 2010)	\$1,0 \$15,		None	NONE	,	
SP	Walt Disney Stock - IRA	\$1,0 \$15,		DIVIDENDS	\$1 - \$200		
SP	Wells Fargo Adv Small Cap- IRA	\$1,0 \$15,		None	NONE		
	Wells Fargo Advantage Fund - Trad Small Cap Growth (formerly Evergreen Growth) - Roth IRA	\$1,0 \$15,		None	NONE		
SP	Wells Fargo Advantage Funds (formerly Evergreen Fund) - Roth IRA	\$1,0 \$15,		None	NONE	:	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME			ink Pallone, Jr	`	Page 8 of 14
SP	Wells Fargo Fund - IRA	None	None	NONE	S
DC	Wells Fargot Ad intrinsic value (formerly Evergreen Intrinsic Value)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
DC	WT Mut Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transactio
SP	Am. Cent Vista Fund - IRA	S	No	03/10/2010	\$1,001 - \$15,000
DC	American Centy Invt Div Bd fd inst cl	Р	N/A	8/17/2010	\$1,001 - \$15,000
DC	Artio Int'I	S	No	08/17/2010	\$1,001 - \$15,000
SP	Artio International - IRA	P	N/A	09/17/2010	\$1,001 - \$15,000
DC	Artisan Fund Small Cap Value	Р	N/A	08/18/2010	\$1,001 - \$15,000
SP	Black Rock Large Cap - IRA	S	No	04/13/2010; 09/17/2010; 09/21/2010	\$1,001 - \$15,000
SP	Blackrock Int'l Opp Fund - IRA	S	No	09/17/2010; 09/21/2010	\$1,001 - \$15,000
SP	Brandywine blue fd I - IRA	Р	N/A	09/17/2010	\$1,001 - \$15,000
SP	Cambier Oppty Fund - IRA	S(part)	No	09/17/2010	\$1,001 - \$15,000
JT	Cisco Systems Stock	S	No	04/13/2010	\$1,001 - \$15,000
DC	Cohen & Steers Realty	S	No	04/09/2010; 7/9/2010; 8/17/2010	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name Frank Pallone, Jr

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transactio
SP	Diamond Hill Fund - IRA	S	No	09/17/2010; 09/21/2010	\$1,001 - \$15,000
DC	Dodge and Cox Income Fund	S(part)	No	08/17/2010 ·	\$1,001 - \$15,000
SP	Dreyfus Appreciation FD INC - IRA	P	N/A	09/17/2010; 09/22/2010	\$1,001 - \$15,000
DC	Dreyfus Emerging mkts fd cl l	Р	N/A	08/17/2010	\$1,001 - \$15,000
SP	DWS value ser inc small cap value fd cl s -	Р	N/A	09/17/2010	\$1,001 - \$15,000
DC	Fidelity Short Fixed Fund	S(part)	No	08/17/2010	\$1,001 - \$15,000
DC	First Amer Invt Fds Inc Real Est secs fd cl Y	P	N/A	08/17/2010	\$1,001 - \$15,000
SP	Goldman Money Fund - IRA	P	N/A	3/11/2010; 9/17/2010	\$1,001 - \$15,000
DC	Goldman Sachs MMF	P	N/A	08/17/2010	\$1,001 - \$15,000
DC	Goldman Sachs MMF	S(part)	No	01/12/2010; 04/12/2010; 10/08/2010	\$1,001 - \$15,000
SP	Hancock Classic Value Fund - IRA	S(part)	No	04/13/2010; 09/17/2010	\$1,001 - \$15,000

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transactio
DC	Harbor Cap App Fund	Р	N/A	08/18/2010	\$1,001 - \$15,000
SP	Harbor Int'i Fund - IRA	P	N/A	09/17/2010	\$1,001 - \$15,000
SP	Hotchkiss & Wiley Fund - IRA	S(part)	No	04/13/2010; 09/17/2010	\$1,001 - \$15,000
JT	iShares Software Index ETF	S	No	04/13/2011	\$1,001 - \$15,000
SP	Legg Mason Value TR Fund - IRA	S(part)	No	09/17/2010	\$1,001 - \$15,000
DC	Morgan JP Core Bond	P	N/A	08/18/2010	\$1,001 - \$15,000
SP	Morgan Stanley instl fund trust mid cap growth port class I - IRA	P	N/A	03/11/2010	\$1,001 - \$15,000
DC	Munder Mid Cap Fund	S(part)	No	08/17/2010	\$1,001 - \$15,000
SP	Nuveen Tradewinds Funds - IRA	S	No	09/17/2010; 09/21/2010	\$1,001 - \$15,000
SP	Oppenheimer dev mkts cl Y - IRA	Р	N/A	09/17/2010	\$1,001 - \$15,000
JT	Phoenix Cos. Stock	S	No	04/13/2010	<\$1,000

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transactio
DC	Pimco Total Ret Fund	S(part)	No	08/17/2010	\$1,001 - \$15,000
DC	Pioneer Cullen Value	P	N/A	08/18/2010	\$1,001 - \$15,000
DC	Pioneer Fund	S	No	08/17/2010	\$1,001 - \$15,000
DC	Pioneer Oak Small Cap Fund	P	N/A	08/17/2010; 08/18/2010	\$1,001 - \$15,000
SP	Security Mid Cap Fund - IRA	Р	N/A	09/17/2010	\$1,001 - \$15,000
SP	Sentinel mut funds small company class I -	P	N/A	09/17/2010	\$1,001 - \$15,000
SP	Touchstone Inst Fund - IRA	S(part)	No	04/13/2010; 09/17/2010	\$1,001 - \$15,000
DC	Wells Fargo Ad Intrinsic Value	Р	N/A	08/17/2010	\$1,001 - \$15,000
SP	Wells Fargo Fund - IRA	S	No	04/13/2010; 09/17/2010; 09/21/2010	\$1,001 - \$15,000
DC	WT Mut Fund	S(part)	No	08/17/2010	\$1,001 - \$15,000

SCHEDULE V - LIABILITIES

Name Frank Palione, Jr.

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liabilit
	Congressional Federal Credit Union	Sept. 2007	Personal Line of Credit (formerly Personal Loan)	\$15,001 - \$50,000
JT	Chase Visa	Dec 2010	Revolving Charge Acct	\$15,001 - \$50,000

SCHEDULE VI - GIFTS

Name Frank Pallone, Jr

Page 14 of 14

Report the source, a brief description, and the value of all gifts totaling more than \$335 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
State of New Jersey - Division of State Lottery	NJ Lottery winnings	\$24,835.33

	STATES HOUSE OF REPRESEN YEAR 2010 FINANCIAL DISCLOSURE STATE		FORM A Page 1 of 8 For use by Members, officers, and employees	HAND DELIVE
	WILLIAM JAMES PASCRELL (Full Name)		973-523-5152 (Daytime Telephone)	2011 MAY 10 AM 11: 0
Filer Status	Member of the U.S. State: NJ House of Representatives District: 8TH		fficer Or Employing Office:	A \$200 penalty shall be assessed against anyone who files
Report Type	Annual (May 15)	☐ Termination	Termination Date: on	more than 30 days late.
PRELIMIN	ARY INFORMATION ANSWER EACH	OF THESE QU	JESTIONS	
Did you or you or more from	our spouse have "earned" income (e.g., salaries or fees) of \$200 any source in the reporting period?		Did you, your spouse, or a dependent child receive any reportal the reporting period (i.e., aggregating more than \$335 and not clearment)? If yes, complete and attach Schedule VI.	ble gift in otherwise Yes ☐ No ☑
ll. you for a spe	idual or organization make a donation to charity in lieu of paying ech, appearance, or article in the reporting period? plete and attach Schedule II.		Did you, your spouse, or a dependent child receive any reportal reimbursements for travel in the reporting period (worth more t from one source)? If yes, complete and attach Schedule VII.	
III. more than \$2 more than \$1	spouse, or a dependent child receive "unearned" income of 00 in the reporting period or hold any reportable asset worth ,000 at the end of the period? plete and attach Schedule III.	Yes ✓ No 🗆 V	Did you hold any reportable positions on or before the date of f //III. current calendar year? If yes, complete and attach Schedule VIII.	liing in the Yes ☐ No ✔
Did you, you IV. reportable as period?	spouse, or dependent child purchase, sell, or exchange any set in a transaction exceeding \$1,000 during the reporting plete and attach Schedule IV.	Yes ✓ No 🗆	Did you have any reportable agreement or arrangement with an entity? If yes, complete and attach Schedule IX.	outside Yes No 🗸
V. Did you, you than \$10,000	spouse, or a dependent child have any reportable liability (more during the reporting period?	Yes No 🗸	Each question in this part must be answered schedule attached for each "Yes" response.	
	Diete and attach Schedule V.	IST INCODMAN		
EXCLUSIO			TION ANSWER <u>EACH</u> OF THESE QUE	· · · · · · · · · · · · · · · · · · ·
Trusts			e on Ethics and certain other "excepted trusts" need not be benefiting you, your spouse, or dependent child?	^e Yes ∏ No ☑
Exemption			ome, transactions, or liabilities of a spouse or dependent c " unless you have first consulted with the Committee on E	

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	EVERGREEN FUNDS MUNICIPAL MONEY MARKET	S	No	06/30/10	\$1,001 - \$15,000
JT	EVERGREEN FUNDS MUNICIPAL MONEY MARKET	Р	N/A	06/30/10	\$1,001 - \$15,000
SP	HUDSON CITY SAVINGS	S	No	02/02/10	\$15,001 - \$50,000
SP	HUDSON CITY SAVINGS	S	No	05/30/10	\$15,001 - \$50,000
JT	PRUDENTIAL FINANCIAL ANNUITY	S	No	10/20/10	\$250,001 - \$500,000
JT	STIFEL NICOLAUS AMGEN	S	No	11/10/10	\$1,001 - \$15,000
JT	STIFEL NICOLAUS CASH	P	N/A	11/10/10	\$89
JT	STIFEL NICOLAUS FRONTIER COMMUNICATIONS STOCK	Р	N/A	11/10/10	\$1,001 - \$15,000
JT	STIFEL NICOLAUS NJ ECONOMIC DEVELOPEMENT BOND	S	No	11/01/10	\$1,001 - \$15,000
JT	STIFEL NICOLAUS NUVEEN NEW JERSEY DIVIDEND ADVANTAGE MUNICIPAL FUNS II	Р	N/A	11/10/10	\$1,001 - \$15,000
	WACHIVIA ANNUITY AIG ANNUITY INS CO	S	No	10/20/10	\$100,001 - \$250,000

SCHEDULE IV - TRANSACTIONS

Name WILLIAM JAMES PASCRELL

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	WESTERN NATIONAL LIFE INSURANCE CO	Р	N/A	10/20/10	\$250,001 - \$500,000

SCHEDULE I - EARNED INCOME

Name WILLIAM JAMES PASCRELL

Page 2 of 8

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Туре	Amount
STATE OF NEW JERSEY	PENSION	\$55,781

SCHEDULI	F III - A	SSETS	AND	"UNFARN	IED" INC	OME
JOHLDOL	_ 111			CITECINI		- ITI

Name WILLIAM JAMES PASCRELL

Page 3 of 8

	BLOCK A	вьоск в	BLOCK C	BLOCK D	BLOCK E
ldentify (a a fair mark and (b) and generated Provide of symbols.) For all IRA self-direct exercised asset held retirement of the instreporting For rental address. For an own publically activities, Exclude: vacation is	As and other retirement plans (such as 401(k) plans) that are ted (i.e.,plans in which you have the power, even if not , to select the specific investments), provide the value for each d in the account that exceeds the reporting thresholds. For t accounts which are not self-directed, provide only the name titution holding the account and its value at the end of the	Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
	AIG RETIREMENT IRA FIXED INCOME ACCOUNT	\$100,001 - \$250,000	INTEREST	\$2,501 - \$5,000	
SP	AIG RETIREMENT IRA FIXED INCOME ACCOUNT	\$50,001 - \$100,000	INTEREST	\$1,001 - \$2,500	
JT	AMERIPRISE MARLBORO NJ MUA	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
JT	AMERIPRISE FINANCIAL (FORMERLY JANNEY MONTGOMERY)CENTURYTE L INC	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
JT	AMERIPRISE MONEY MARKET	\$1 - \$1,000	INTEREST	\$1 - \$200	Р
JT	AMERIPRISE NJ BUILDING AUTHORITY BOND	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME			Name WILLIAM JAMES PASCRELL			Page 4 of 8
JT	AMERIPRISE REPUBLIC BANCORP STOCK	\$1	- \$1,000	DIVIDENDS	\$1 - \$200	
JT	AMERIPRISE SPRINT NEXTEL STOCK	\$1	- \$1,000	DIVIDENDS	\$1 - \$200	
	AMERIPRISE TORTOISE ENERGY STOCK		001 - 5,000	DIVIDENDS	\$201 - \$1,000	
JT	EVERGREEN FUNDS MUNICIPAL MONEY MARKET	No	ne	DIVIDENDS	\$201 - \$1,000	S
	FIDELITY INVESTMENTS MAGELLAN		001 - 5,000	DIVIDENDS	\$1 - \$200	
SP	FIDELITY INVESTMENTS MAGELLAN		00,001 <i>-</i> 50,000	DIVIDENDS	\$1,001 - \$2,500	
JT	FREEDOM BANK	I	0,001 - 00,000	INTEREST	\$1,001 - \$2,500	
	FREEDOM BANK IRA		001 - 5,000	INTEREST	\$1 - \$200	
SP	FREEDOM BANK IRA	' '	001 - 5,000	INTEREST	\$1 - \$200	
JT	FREEDOM BANK MONEY MARKET	1	00,001 - 50,000	INTEREST	\$1,001 - \$2,500	
SP	HUDSON CITY SAVINGS	No	ne	None	NONE	S
	JACKSON NATIONAL LIFE INSURANCE COMPANY ANNUITY	l .	00,001 - 50,000	INTEREST	\$2,501 - \$5,000	
SP	MERRILL LYNCH BLACKROCK FUNDAMENTAL GROWTH	' '	001 - 5,000	DIVIDENDS	\$201 - \$1,000	
SP	MERRILL LYNCH ML BANK		001 - 5,000	INTEREST/DIVID ENDS	\$201 - \$1,000	

SCHEDULE III -	ASSETS	AND	"UNEARNED"	INCOME

PRUDENTIAL FINANCIAL

PRUDENTIAL FINANCIAL

STATE OF NEW JERSEY

STIFEL NICOLAUS AMGEN

STIFEL NICOLAUS CASH

COMMUNICATIONS STOCK

ECONOMIC DEVELOPEMENT

STIFEL NICOLAUS NUVEEN NEW JERSEY DIVIDEND ADVANTAGE MUNICIPAL

STIFEL NICOLAUS PIMCO MUNICIPAL INCOME FUND

CERTIFICATE OF DEPOSIT

CERTIFICATE OF DEPOSIT

CERTIFICATE OF DEPOSIT

\$15,000

TD BANK NORTH

TD BANKNORTH IRA

TD BANKNORTH IRA

STIFEL NICOLAUS

STIFEL NICOLAUS NJ

FRONTIER

BOND

FUNS II

SHORE PIRATES LLC

ANNUITY

ANNUITY

JT

SP

JT

JT

JT

JT

JT

JT

JT

JT

SP

COME	Name WILLIA	AM JAMES PASCRELL		Page 5 of 8
No	one	INTEREST	\$5,001 - \$15,000	S
1	00,001 - 50,000	INTEREST	\$2,501 - \$5,000	
	50,001 - 00,000	RENT	NONE	
)	50,001 - 00,000	Other: (Please specify) PENSION	\$50,001 - \$100,000	
No	one	DIVIDENDS	NONE	S
\$1	- \$1,000	INTEREST	\$1 - \$200	Р
1 '	,001 <i>-</i> 5,000	DIVIDENDS	\$201 - \$1,000	Р
No	one	INTEREST	NONE	S
	,001 - 5,000	DIVIDENDS	\$201 - \$1,000	Р
,	,001 - 5,000	DIVIDENDS	\$201 - \$1,000	
	00,001 - 50,000	INTEREST	\$1,001 - \$2,500	
	,001 - 5,000	INTEREST	\$1 - \$200	
\$1	,001 -	INTEREST	\$1 - \$200	

SCHEDULE II	I - ASSETS AND	"UNEARNED"	INCOME
		O.1	

SCHED	ULE III - ASSETS AND "UNEARNED" IN	Name WILLIAM JAMES PASCRELL			Page 6 of 8	
	TD BANKNORTH IRA CERTIFICATE OF DEPOSIT	1 ' '	001 <i>-</i> 5,000	INTEREST	\$1 - \$200	
SP	TD BANKNORTH IRA CERTIFICATE OF DEPOSIT	1 .	001 - 5,000	INTEREST	\$1 - \$200	
	TRANSAMERICA ANNUITY	'	00,001 - 50,000	INTEREST	\$1,001 - \$2,500	
SP	TRANSAMERICA ANNUITY	1 ' '	001 - 5,000	INTEREST	\$201 - \$1,000	
SP	TRANSAMERICA ANNUITY	\$100,001 - \$250,000		INTEREST	\$1,001 - \$2,500	
SP	TRANSAMERICA ANNUITY		5,001 - 0,000	INTEREST	\$201 - \$1,000	
JT	UNITED STATES SAVINGS BONDS SERIES E AND EE		0,001 <i>-</i> 00,000	INTEREST	\$2,501 - \$5,000	
	WACHIVIA ANNUITY AIG ANNUITY INS CO	No	ne	INTEREST	\$1,001 - \$2,500	S
	WACHOVIA IRA	, ,	001 - 5,000	INTEREST	\$201 - \$1,000	
JT	WELLS FARGO ADVANTAGE FUNDS EVERGREEN MUNICIPAL MONEY MARKET	1 ' '	001 <i>-</i> 5,000	DIVIDENDS	\$1 - \$200	
JT	WELLS FARGO CASH	\$1	- \$1,000	INTEREST	\$1 - \$200	
JT	WELLS FARGO EVERGREEN LARGE CAP	4	5,001 - 0,000	INTEREST	\$201 - \$1,000	
JT	WELLS FARGO PUTNAM NJ TAX EXEMPT	\$1	- \$1,000	DIVIDENDS	\$1 - \$200	
	WESTERN NATIONAL LIFE INSURANCE CO		00,001 - 000,000	INTEREST	\$15,001 - \$50,000	Р

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT			FORM A PAGE ACTOR For use by Members, officers, and employees	DELIVERED
				GIST ATTIVE RESOURCE CLATTER
	Steven R. Rothman (Full Name)		202-225-5061 (Daytime Telephone)	2011 MAY 11 PM 5: 23 MC
	Member of the U.S. State: NJ House of Representatives District: 09		Officer Or Employing Office: Employee	A \$200 penalty shall be assessed against anyone who files
Report V	Annual (May 15)	Terminati	Termination Date: ion	more than 30 days late.
PRELIMINARY	INFORMATION ANSWER EACH OF T	HESE Q	UESTIONS	
I. or more from any so	use have "earned" income (e.g., salaries or fees) of \$200 purce in the reporting period? Yes wind attach Schedule I.	No 🗌	VI. Did you, your spouse, or a dependent child receive any reportable VI. the reporting period (i.e., aggregating more than \$335 and not oth exempt)? If yes, complete and attach Schedule VI.	
II. you for a speech, ap	r organization make a donation to charity in lieu of paying pearance, or article in the reporting period? Yes and attach Schedule II.	No 🔽	Did you, your spouse, or a dependent child receive any reportable VII. reimbursements for travel in the reporting period (worth more that from one source)? If yes, complete and attach Schedule VII.	
III. more than \$200 in the more than \$1,000 at	e, or a dependent child receive "unearned" income of the reporting period or hold any reportable asset worth the end of the period? Indicattach Schedule III.	No 🗌	Did you hold any reportable positions on or before the date of fill VIII. current calendar year? If yes, complete and attach Schedule VIII.	ng in the Yes ✔ No ☐
IV. Did you, your spous reportable asset in a period?	e, or dependent child purchase, sell, or exchange any	No 🗆	Did you have any reportable agreement or arrangement with an o entity? If yes, complete and attach Schedule IX.	utside Yes ☐ No 🗸
V. Did you, your spous V. than \$10,000) during	e, or a dependent child have any reportable liability (more the reporting period?] No 🗸	Each question in this part must be answered	and the appropriate
	nd attach Schedule V. E SDOUGE DEDENDENT OD TRUST IN	IEODMA	schedule attached for each "Yes" response. TION ANSWER EACH OF THESE QUES	
Trusts-		he Committe	ee on Ethics and certain other "excepted trusts" need not be	Yes No 🗸
Exemptions			come, transactions, or liabilities of a spouse or dependent chi s" unless you have first consulted with the Committee on Eth	

SCHEDULE I - EARNED INCOME

Name Steven R. Rothman

Page 2 of 9

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Туре	Amount	
Twinks Company	Manager's Fee	\$26,500	
Center for Food Action in New Jersey, Inc.	Spouse Salary	N/A	

	BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting		Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
DC 1	125 Louis Street, South Hackensack, NJ (5.5% owner)	\$15,001 - \$50,000	RENT	\$5,001 - \$15,000	N/A
DC 2	125 Louis Street, South Hackensack, NJ (5.5% owner)	\$15,001 - \$50,000	RENT	\$5,001 - \$15,000	N/A
1	125 Louis Street, South Hackensack, NJ (5.7% owner)	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	N/A
I	150 Louis Street, South Hackensack, NJ (16 2/3% owner)	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	N/A
ı	175 Louis Street Hackensack, NJ; Sustar, LLC (Partial Owner)	\$100,001 - \$250,000	RENT	\$15,001 - \$50,000	N/A
Partner	17793 Southwick Way Boca Raton, FL (1/3 owner)	\$100,001 - \$250,000	N/A	NONE	N/A

SCHEDU	CHEDULE III - ASSETS AND "UNEARNED" INCOME			Name Steven R. Rothman			
I	250 North Street Teterboro, NJ (33% owner)	1 '	00,001 <i>-</i> 50,000	RENT	\$15,001 - \$50,000	N/A	
l	30 Ruta Court; South Hackensack, NJ (33% owner)		00,001 - 50,000	RENT	\$5,001 - \$15,000	N/A	
I	4 E. Forest Avenue Englewood, NJ (33 1/3% owner)	'	00,001 - 50,000	RENT	\$5,001 - \$15,000	N/A	
ŀ	40 Ruta Court, So. Hackensack, NJ (33 1/3%)	-	00,001 - 50,000	RENT	\$15,001 - \$50,000	N/A	
İ	425 Victoria Terrace Ridgefield, NJ (16 2/3% owner)	-	00,001 - 50,000	RENT	\$15,001 - \$50,000	N/A	
DC 2	45 Ruta Court, S. Hackensack, NJ (6% owner)	1 '	5,001 - 0,000	RENT	\$2,501 - \$5,000	N/A	
DC 1	45 Ruta Court, South Hackensack, NJ (6% owner)		5,001 - 0,000	RENT	\$2,501 - \$5,000	N/A	
I	538-540 Huyler Street, South Hackensack, NJ (16 2/3% owner)	, ,	5,001 - 0,000	RENT	\$5,001 - \$15,000	N/A	
I	550 Huyler Street, South Hackensack, NJ (16 2/3% owner)	1 '	50,001 - 00,000	RENT	\$5,001 - \$15,000	N/A	
1	600 Hollister Road Teterboro, NJ (33 1/3%)	1 -	0,001 - 00,000	RENT	\$15,001 - \$50,000	N/A	
I	80 Wesley Street, South Hackensack, NJ; RNN LLC (33% owner)	1 -	00,001 - 50,000	RENT	\$201 - \$1,000	N/A	
SP IRA	Alliance Bernstein Int'l		001 - 5,000	DIVIDENDS	\$201 - \$1,000	N/A	
SP IRA	Alliance Bernstien Global		001 <i>-</i> 5,000	DIVIDENDS	\$201 - \$1,000	N/A	

CHEDUL	LE III - ASSETS AND "UNEARNED" IN	ICOME	Name Stev	Page 5 of 9		
SP IRA	American Capital Income		5,001 <i>-</i> 0,000	DIVIDENDS	\$201 - \$1,000	N/A
SP IRA	American Capitol World Growth and Ind CJC	'	5,001 - 0,000	DIVIDENDS	\$201 - \$1,000	N/A
SP IRA	Blackrock Equity	'	5,001 <i>-</i> 0,000	DIVIDENDS	\$201 - \$1,000	N/A
DC2	CD Capmark Bank	\$15,001 - \$50,000		INTEREST	\$201 - \$1,000	N/A
DC2	CD Capmark Bank	\$50,001 - \$100,000		INTEREST	\$1,001 - \$2,500	N/A
DC1	CD Capmark Bank	\$15,001 - \$50,000		INTEREST	\$201 - \$1,000	S(part)
IRA	CD Compass Bank	\$15,001 - \$50,000		INTEREST	\$201 - \$1,000	N/A
DC1	CD Compass Bank	None		INTEREST	\$201 - \$1,000	S
DC2	CD Compass Bank		5,001 - 0,000	INTEREST	\$201 - \$1,000	S(part)
IRA	CD Discover Bank	1 '),001 -)0,000	INTEREST	\$1,001 - \$2,500	N/A
DC2	CD Discover Bank	1 -),001 -)0,000	INTEREST	\$1,001 - \$2,500	S(part)
SP IRA	CGM Advisor Targeted		001 - 5,000	DIVIDENDS	\$1 - \$200	N/A
SP IRA	Citibank Retirement Plan	- '	001 - 5,000	DIVIDENDS	\$1 - \$200	N/A
Partner	Citibank Savings Account (1/3 owner)	- ,	001 - 5,000	INTEREST	\$201 - \$1,000	N/A

SCHEDULE III - ASSETS AI	ID "UNEARNED" INCOME
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SCHEDUI	CHEDULE III - ASSETS AND "UNEARNED" INCOME			Name Steven R. Rothman		
SP	CMA NJ Municipal Money Market Fund	1 -	90,001 - 50,000	DIVIDENDS	\$201 - \$1,000	N/A
DC 1	Columbia Bank Acct	' '	001 - 5,000	INTEREST	\$1 - \$200	N/A
DC 2	Columbia Bank Acct		001 - 5,000	INTEREST	\$1 - \$200	N/A
l	Columbia Bank Acct	\$1,001 - \$15,000		NONE	NONE	N/A
SP	Columbia Bank Checking Account	' '	001 - 5,000	INTEREST	\$1 - \$200	N/A
SP IRA	Columbia Marisco 21st	' '	001 - 5,000	DIVIDENDS	\$201 - \$1,000	N/A
	Dreyfus NJ Money Market Fund	\$1	- \$1,000	INTEREST	\$1 - \$200	N/A
Partner	Dreyfus NJ Money Mkt	'	5,001 - 0,000	DIVIDENDS	\$201 - \$1,000	N/A
DC 2	Dreyfus NJ Money Mkt Fund		001 - 5,000	DIVIDENDS	\$1 - \$200	N/A
DC 1	Dreyfus NJ Money Mkt Fund	' '	001 - 5,000	DIVIDENDS	\$1 - \$200	N/A
Partner	Dreyfus NJ Money Mkt Fund (1/3 owner)		5,001 -),000	DIVIDENDS	\$201 - \$1,000	N/A
SP IRA	Fidelity Adv Leveraged	1 ' '	001 - 5,000	DIVIDENDS	\$201 - \$1,000	N/A
Partner	Fidelity Mun. Money Mkt. Fund	I .),001 -)0,000	DIVIDENDS	\$2,501 - \$5,000	N/A
SP IRA	Goldman Sachs	1 - 1	001 - 5,000	DIVIDENDS	\$201 - \$1,000	N/A

SCHEDUL	.E III - ASSETS AND "UNEARNED" IN	ICOME	Name Stev	Page 7 of 9		
SP IRA	Henderson Int'l		001 - 5,000	DIVIDENDS	\$201 - \$1,000	N/A
DC 1	I Shares MSCI Taiwan	No	ne	DIVIDENDS	\$201 - \$1,000	s
DC 2	I Shares MSCI Taiwan		5,001 -),000	DIVIDENDS	\$201 - \$1,000	S(part)
SP IRA	Loomis Sayles Strategic		5,001 -),000	DIVIDENDS	\$201 - \$1,000	N/A
SP IRA	Merrill Lynch Bank USA RASP		5,001 - 0,000	DIVIDENDS	\$2,501 - \$5,000	N/A
IRA	Oppenheimer Global Fund (Public Employees Benefits Co.); Formerly Oppenheimer Global Fnd Cls A	1 '	5,001 -),000	DIVIDENDS	\$201 - \$1,000	N/A
Partner	Partner-Summit Checking a/c (1/3 owner)		001 - 5,000	N/A	NONE	N/A
Partner	Roan Mun. Money Mkt. Fund (5.7% owner)	1 '	5,001 - 0,000	DIVIDENDS	\$1,001 - \$2,500	N/A
DC 1	Steven R. Rothman Irrev. Life Ins. Trust		001 - 5,000	N/A	NONE	N/A
DC 2	Steven R. Rothman Irrev. Life Ins. Trust	1 ' '	001 - 5,000	N/A	NONE	N/A
SP IRA	Templeton Global Bond Fund		001 - 5,000	DIVIDENDS	\$201 - \$1,000	N/A
Partner	Twinks Checking Account- Columbia Bank		001 - 5,000	INTEREST	\$1 - \$200	N/A
Partner	Twinks LLC Columbia Bank	1 -	5,001 - 0,000	INTEREST	\$201 - \$1,000	N/A

SCHEDULE IV - TRANSACTIONS

Name Steven R. Rothman

Page 8 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
DC2	I Shares MSCI Taiwan	S	Yes	07-06-10	\$1,001 - \$15,000
DC1	I Shares MSCI Taiwan	S(part)	Yes	12-13-10	\$15,001 - \$50,000

SCHEDULE VIII - POSITIONS

Name Steven R. Rothman

Page 9 of 9

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization				
Trustee	John Abraham Rothman 1989 Trust				
Trustee	Karen Bena Rothman 1991 Trust				
Partner	Twinks Company, LLC				
Partner	Roan Partnership, LP				
Twinks Company, LLC is a tenant in common in these two organizations	Folman Company; Rofol Company				

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT					FORM A Page 1 of 8 EG For use by Members, officers, and employees	SLATIVE RESOURCE CENTER II MAY 13 PM 5: 47
		Donald M. Payne (Full Name)			202-225-3436 H (Daytime Telephone)	ND DELIVERED (Office Use Only)
Filer Status Member of the U.S. House of Representatives District: 10				1 1	icer Or Employing Office: ployee	A \$200 penalty shall be assessed against anyone who files
	eport Type	Annual (May 15)	☐ Term	nination	Termination Date:	more than 30 days late.
PR	ELIMINARY	INFORMATION - ANSWER EACH	OF THES	E QUI	ESTIONS	··· ·
1.	or more from any se	ouse have "earned" Income (e.g., salaries or fees) of \$200 ource in the reporting period? and attach Schedule I.	Yes ☑ No [U.	Did you, your spouse, or a dependent child receive any reportab the reporting period (i.e., aggregating more than \$335 and not of exempt)? If yes, complete and attach Schedule VI.	
B.	you for a speech, a	or organization make a donation to charity in lieu of paying ppearance, or article in the reporting period? and attach Schedule II.	Yes 🗌 No	VII	Did you, your spouse, or a dependent child receive any reportable reimbursements for travel in the reporting period (worth more the from one source)? If yes, complete and attach Schedule VII.	
III.	more than \$200 in the more than \$1,000 at	se, or a dependent child receive "unearned" income of the reporting period or hold any reportable asset worth t the end of the period? and attach Schedule III.	Yes ☑ No [VII	Did you hold any reportable positions on or before the date of fill current calendar year? If yes, complete and attach Schedule VIII.	ling in the Yes ☑ No ☐
IV.	reportable asset in a period?	se, or dependent child purchase, sell, or exchange any a transaction exceeding \$1,000 during the reporting and attach Schedule IV.	Yes 🗹 No [□ IX.	Did you have any reportable agreement or arrangement with an entity? If yes, complete and attach Schedule IX.	outside Yes ☐ No ☑
v.	Did you, your spous than \$10,000) during	se, or a dependent child have any reportable liability (more g the reporting period?	Yes ☑ No [Each question in this part must be answered schedule attached for each "Yes" response.	I and the appropriate
		and attach Schedule V.	IST INFOE	DAAA TI	ION ANSWER EACH OF THESE QUE	
	Trusts		ved by the Con	nmittee o	on Ethics and certain other "excepted trusts" need not be	
	Exemptions				ne, transactions, or liabilities of a spouse or dependent cl unless you have first consulted with the Committee on Et	

SCHEDULE I - EARNED INCOME

Name Donald M. Payne

Page 2 of 8

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Туре	Amount
Morgan Stanley Smith Barney IRA	Mandatory Distribution	\$14,301.44

BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period), any denomination of the property and denomination of	Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
19 Bock Avenue, Newark, NJ	\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	
American Express Centurion Bank CD (1 1/2 years)	\$1,001 - \$15,000	Interest	\$1 - \$200	
GE Money Market Bank 2-year CD	\$1001 -\$15,000	Interest	\$1-\$200	
Medco Health Solutions, Inc. Stock	\$1001 - \$15,000	None	NONE	
Merck Stock	\$15,001 - \$50,000	Dividends	\$1001 - \$2,500	
MetLife Annuity	\$100,001 - \$250,000	Interest	\$5,001 - \$15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

EDULE III - ASSETS AND UNEARNED INCOME		me Donald M. Payne		Page 4 of 8
Prudential Stock	\$15,00 \$50,00		\$201 - \$1,000	
Smith Barney Bank Deposit Program	\$15,00 \$50,00	<u>.</u>	\$1 - \$200	
Smith Barney CG Capital Market Large Cap Value	\$15,00 \$50,00	•	\$201 - \$1,000	
Smith Barney CG Capital Markets International Equity	\$15,00 \$50,00		\$201 - \$1,000	
Smith Barney CG Large Cap Growth	\$50,00 \$100,0		\$1 - \$200	
Smith Barney Small Cap Growth	\$1,001 \$15,00		NONE	
Smith Barney Small Cap Value	\$1,001 \$15,00		\$1 - \$200	
Wells Fargo Stock	\$1,001 \$15,00		\$1 - \$200	

SCHEDULE IV - TRANSACTIONS

Name Donald M. Payne

Page 5 of 8

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Ally Bank CD	Р	No	02-05-10	\$1001 - \$15,000
	Ally Bank CD	S	No	11-12-10	\$1001 - \$15,000
	American Express Centurion Bank CD	P	No	02-05-10	\$1001 - \$15,000
	Bank of China CD	S	No	11-12-10	\$1001 - \$15,000
	Bank of China CD	Р	No	05-12-10	\$1001 - \$15,000
	Citibank CD	S	No	03-04-10	\$15,001 - \$50,000
	GE Money Bank CD	P	No	05-04-10	\$1001 - \$15,000

SCHEDULE V - LIABILITIES

Name Donald M. Payne

Page 6 of 8

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	Morgan Stanley Smith Barney	April 1, 1993	Margin Loan	\$15,001 - \$50,000
	Sovereign Bank	April 11, 2008	Home Equity Loan 21 Bock Avenue, Newark, NJ	\$100,001 - \$250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Donald M. Payne

Page 7 of 8

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure DestinationPoint of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days no <u>t at</u> sponsor's expense
J Street Education Fund	Feb. 15- 19, 2010	Newark, NJ - Tel Aviv - Jerusalem - Ramallah - Newark, NJ	Y	Y	N	None
The Aspen Institute Congressional Program	May 31- June 6, 2010	Newark, NJ - Tunis, Tunisia - Newark, NJ	Y	Υ	Y	None
The Aspen Institute Congressional Program	August 16- 21, 2010	Newark, NJ - Vancouver - Newark, NJ	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Donald M. Payne

Page 8 of 8

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Name of Organization				
Congressional Black Caucus Foundation, Inc.				
Discovery Channel Foundation				
Newark Day Center				
University Heights Science Project				
YMCA of Newark and Vicinity	- 			
	Congressional Black Caucus Foundation, Inc. Discovery Channel Foundation Newark Day Center University Heights Science Project			

UNITED STATES HOUSE OF REPRESENTATIVES		Form A		ιαί	3e i oi <u>44</u>
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STAT	EMENT	For use by Members, officers, and employees	ΗΔΝΙ	DELLY	EDEN
Name: Rodney P. Frelinghuysen Filer Status X Member of the U.S. State: New Jersey District: 11 Report X Annual (May 16, 2011) Amendment	Daytime Officer Employ		CHRISTATIVE	RESOURCE CL 2 AM 11: e-Use Only) REPRESENTA y shall be	26 MC
PRELIMINARY INFORMATION — ANSWER <u>EACH</u>	OFTHES	SE QUESTIONS			
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	No X	VI. Did you, your spouse, or a dependent child reportable gift in the reporting period (i.e., agg than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.		Yes	No X
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	No X	VII. Did you, your spouse, or a dependent child reportable travel or reimbursements for travel in period (worth more than \$335 from one source if yes, complete and attach Schedule VII.	n the reporting	Yes	No X
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	No 🗌	VIII. Did you hold any reportable positions on of filing in the current calendar year? If yes, complete and attach Schedule VIII.	or before the date	Yes	No 🔲
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	No	IX. Did you have any reportable agreement or an outside entity? If yes, complete and attach Schedule IX.	arrangement with	Yes	No X
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes If yes, complete and attach Schedule V.	No X	Each question in this part appropriate schedule attach			
EXCLUSION OF SPOUSE, DEPENDENT, OR TRU	ST INFOF	RMATION — ANSWER <u>EACH</u> O	F THESE QU	ESTION	S
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committeexcluded from this report details of such a trust benefiting you, your spouse, or			closed. Have you	Yes	No 🔲
EXEMPTION —Have you excluded from this report any other assets, "unearnethey meet all three tests for exemption? Do not answer "yes" unless you have			child because	Yes	No X

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A		BLC	оск в	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source		Value o	of Asset	Type of Income	Amount of Income	Transaction
Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retire-	ify (a) each asset held for investment or production come with a fair market value exceeding \$1,000 at 2 and of the reporting period, and (b) any other 3 table asset or sources of income which generated 3 than \$200 in "unearned" income during the year. de complete names of stocks and mutual funds (do 3 se ticker symbols.) If IRAs and other retirement plans (such as 401(k) 30 that are self-directed (i.e., plans in which you have 30 the reporting year. If you use a valuation 3 method other than fair market value, 3 please specify the method used. If an asset was sold during the reporting 3 year and 3 is included only because it 3 generated 3 income, the value should be "None."			Check all columns that apply. For retirement accounts that do not allow you to choose specific investments <u>or</u> that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income dur-	For retirement accounts that do not allow you to choose specific investments <u>or</u> that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
ment accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.	None \$1.000 at 2000 C	1 - \$50,000 1 - \$100,000 01 - \$250,000	-\$500,000 -\$1,000,000 11 -\$5,000,000 11 -\$55,000,000	NONE DIVIDENDS RENT INTEREST CAPITAL GAINS EXCEPTED/BLIND TRUST Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example. P, S, E
SP, SP Mega Corp. Stock		X		XXX	X	S (partial)
DC, Examples: Simon & Schuster	Indefi			Royalties	X X X X X X X X X X	
See Continuation Sheets Pages 3 thru 25		X			X	

RODNEY P. FRELINGHUYSEN

ASSETS	YEAR-END VALUE	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Johnson & Johnson	G	DIV	VII	
Pall Corp.	G ·	DIV	\mathbf{v}	
Peapack Gladstone Financial Corp.	E	DIV	IV	
Procter & Gamble Co.	I	DIVGAIN	IX	S(partial)
International Business Machines	Н	DIV	VII	
Eli Lilly & Co.	G	DIV	VI	
Brocade Communications Systems, Inc. (IRA)	\mathbf{C}	NONE	I	
Peapack Gladstone Bank (CKG)	н	INT	Ш	
JP Morgan Chase Bank (SVGS)	${f E}$	INT	п	
Congressional Federal Credit Union	\mathbf{C}	INT	п	
Merck & Co., Inc.	${f E}$	DIV	${f v}$	
JP Morgan Funds	\mathbf{c}	DIV	II	
Prime Money Market Fund (IRA)	${f C}$	DIV	Under \$1.00	

RODNEY P. FRELINGHUYSEN

<u>ASSETS</u>	YEAR-END VALUE	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Abbott Labs	${f F}$	DIV	VI	
Emerson Electric Co.	${f F}$	DIV	v	
Exxon Mobil Corp.	G	DIV	VI	
Hospira, Inc.	${f F}$	NONE	1	
McGraw Hill Cos., Inc.	${f E}$	DIV	IV	
Medtronic, Inc.	A	DIV/GAIN	VI	\mathbf{s}
Schlumberger LTD	${f F}$	DIV	IV	
Wells Fargo & Co. (NEW)	${f E}$	DIV	Ш	
B G Group PLC	${f F}$	DIV	IV	
Nestle SA Sponsored ADR	${f F}$	DIV	V	
General Electric Co.	${f E}$	DIV	IV	
White Mountain Insurance Group	A	DIV/GAIN	v	P/S
Chicago Bridge & Iron Co.	${f F}$	DIV	I	

RODNEY P. FRELINGHUYSEN

<u>assets</u>	YEAR-END <u>YALUE</u>	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Schwab U.S. Treasury Money Fund	${f E}$	DIV	п	P
Varian Medical Systems, Inc.	${f F}$	NONE	I	
Denbury Resources, Inc. (Formerly, Encore Acquisition Co)	F	NONE	Ι	
Union Pacific Corp.	${f E}$	DIV	\mathbf{IV}	
American Superconductor Corp.	${f E}$	NONE	I	
Plains Exploration & Production Co.	${f A}$	NONE	I	\mathbf{s}
Laboratory Corp. of America	${f E}$	NONE	Ι	
Encore Energy Partners	${f F}$	DIV	VI	
Berkshire Hathaway CL'B'	${f F}$	NONE	I	
Google, Inc. CL'A'	${f F}$	NONE	I	P
Bank of NY Mellon Corp	${f E}$	DIV	IV	
Coca Cola Company	${f E}$	DIV	IV	

RODNEY P. FRELINGHUYSEN

<u>Assets</u>	YEAR-END VALUE	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Devon Energy Corp.	\mathbf{F}	DIV	Ш	
Encana Corp.	${f A}$	DIV	IV	\mathbf{s}
Royal Dutch Shell	${f F}$	DIV	VI	Ρ.
John Wiley & Son CL'A'	${f E}$	DIV	IV	
Third Avenue Focused (TFCIX)	${f F}$	DIV	IV	P
Park Ridge NJ 3.875% Due 10/15/17	A	INT	Ш	S
U.S. T-Note 1% due 8/31/11	A	INT/GAIN	V	S
Ishares MSCI Emrg. Mkt Fund	${f F}$	DIV	īv	
Sysco Corparation	${f E}$	DIV	v	
U.S. T-Note .75% due 11/30/11	G	INT/GAIN	III	P/S(partial)
Chevron Corp.	${f E}$	DIV	I	P
ABB Ltd ADR	${f F}$	DIV	V	P
TJX Co. Inc.	${f E}$	DIV	ш	P

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

<u>ASSETS</u>	YEAR-END VALUE	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Medco Health Solutions, Inc	${f F}$	NONE	I	P
Celgene Corp.	${f E}$	NONE	I	P
Cisco Systems, Inc.	E	NONE	I	P
First Eagle Gold Fund CL'A'	${f F}$	DIV	VI	P
17.923 Acres unimproved land, Frelinghuysen Twp., NJ (Commercial Value 2005)	G	NONE	I	
1/5th undivided interest in 235.8 acres of unimproved land, Stockbridge, Ma. (Est. Value)	F	NONE	I	
1/5 interest in Rattlesnake Mountain, LLC Rental Home, Stockbridge, MA	${f F}$	RENT	I	
Pacific Life - Whole Life Insurance	G	N/A		

Daughter no longer a dependent therefore the following assets have been dropped: Pfizer, Inc., Bank of America(svgs), Conoco Phillips, Hugoton Royalty Trust & U.S. T-Note .875% Due 2/28/11.

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : Procter Trust For Frelinghuysen,
Peter H. B. Frelinghuysen, Trustee (1/10th income
interest)*

* All Assets are held in trust, and all transactions are initiated by the Trustee

The trust was not created directly by Rodney, his spouse or any dependents.

They are neither consulted nor give advice regarding these investments.

Neither Rodney, his spouse or any dependents receives monthly, quarterly, yearly reports of any type regarding this trust, excepting the Yearly K-1. Therefore, it qualifies as an Excepted Trust.

This Trust replaces the Marital Trust u/w Rodney Procter and the Non-Marital Trust u/w Rodney Procter.

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : A.H. Frelinghuysen 1950 Trust,
Peter H. B. Frelinghuysen, Trustee
(1/5 income interest)*

* All Assets are held in trust, and all transactions are initiated by the Trustee

The trust was not created directly by Rodney, his spouse or any dependents.

They are neither consulted nor give advice regarding these investments.

Neither Rodney, his spouse or any dependents receives monthly, quarterly, yearly reports of any type regarding this trust, excepting the Yearly K-1. Therefore, it qualifies as an Excepted Trust.

2010 Income Received

 $\mathbf{v}\Pi$

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity: Peter H.B. Frelinghuysen Trust U/D dated 1/17/57, Peter H.B. Frelinghuysen and George L. K. Frelinghuysen, Trustees (1/8th income interest)*

* All Assets are held in trust, and all transactions are initiated by the Trustee

The trust was not created directly by Rodney, his spouse or any dependents.

They are neither consulted nor give advice regarding these investments.

Neither Rodney, his spouse or any dependents receives monthly, quarterly, yearly reports of any type regarding this trust, excepting the Yearly K-1. Therefore, it qualifies as an Excepted Trust.

2010 Income Received

VII

RODNEY P. FRELINGHUYSEN

Identity: B. P. Frelinghuysen Trust U/A 4/3/73 Deutsche Bank, New York, NY Trustee (discretionary income interest)*

<u> HOLDINGS</u>	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Merck & Co., Inc.	${f F}$	DIV	VI	
Procter & Gamble Co.	I	DIV	VII	
Deutsche Bank Trust Co. Tax Free Income Fund	${f F}$	DIV	$\mathbf{v}_{\mathbf{I}}$	
Deutsche Bank Trust Money Market Account	${f E}$	INT	П	S(partial)
Cisco Systems, Inc.	\mathbf{C}	NONE	I	
Intel Corp.	\mathbf{c}	DIV	п	
Microsoft Corp.	\mathbf{c}	DIV	ш	
Pfizer	D	DIV	\mathbf{IV}	
Bank of America	\mathbf{C}	DIV	Π	

^{*} All Assets listed are held in trust, and all transactions are initiated by the Trustee.

Neither Rodney, his spouse or any dependents is consulted nor gives advice regarding these investments. However, Rodney does receive quarterly statements regarding this trust.

RODNEY P. FRELINGHUYSEN

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
General Electric Co.	${f C}$	DIV	П	
Noble Corp. ORD	D	DIV	ш	
Medco Health Solutions	${f E}$	NONE	I	
Ishares Tr. MSCI EAFE Index Fd	D	DIV	m	
SPDR Tr. Unit Ser I Standard & Poors Dep. Receipt	F	DIV	\mathbf{IV}	

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity: B. S. Procter Trust U/A 5/31/60 F/B/O Rodney P. Frelinghuysen Peter Frelinghuysen & Rodney P. Frelinghuysen, Trustees

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Abbott Laboratories	${f F}$	DIV	VI	
Bristol Myers Squibb Co.	${f E}$	DIV	\mathbf{v}	
IMS Health, Inc.	A	DIV	I	${f E}$
Moody's Corp.	D	DIV	Ш	
R.H. Donnelley Corp.	${f A}$	NONE	. I	Deemed Worthless
Gannett Co., Inc.	\mathbf{c}	DIV	п	
H. J. Heinz Company	D	DIV	IV	
Pepsico, Inc.	${f F}$	DIV	$\mathbf{v}_{\mathbf{I}}$	
Procter & Gamble Co.	J	DIV	IX	
Loews Corp.	G	DIV	IV	
Motorola, Inc.	D	DIV	I	

RODNEY P. FRELINGHUYSEN

HOLDINGS	YEAR-END YALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
CSX Corporation	${f F}$	DIV	$\mathbf{r}\mathbf{v}$	
AT&T, Inc. new	D	DIV	IV	
Alcatel-Lucent ADR	В	NONE	I	
Daily Income Fund	\mathbf{H}	INT	п	S(partial)
American Express Co.	${f E}$	DIV	IV	
McGraw-Hill Cos., Inc.	${f E}$	DIV	IV	
Sherwin Williams Co.	${f F}$	DIV	v	
Dun & Bradstreet Corp., NEW	D	DIV	Ш	
Zimmer Holdings, Inc.	D	NONE	I	
Smucker, J.M. Co.	${f F}$	DIV.	\mathbf{v}	
DelMonte Foods Co.	\mathbf{c}	DIV	II	
Comcast Corp. New CL 'A'	\mathbf{c}	DIV	П	
Hospira, Inc.	D	NONE	I	

RODNEY P. FRELINGHUYSEN

HOLDINGS	YEAR-END	INCOME	2010	2010
	VALUATION	TYPE	INCOME	TRANSACTION
Ameriprise Financial, Inc.	D	DIV	Ш	

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity: V. T. Robinson Trust U/A dated 4/10/80, Fiduciary Trust Company, Boston, Ma. Trustee (spouse discretionary income interest)*

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Home Depot, Inc.	D	DIV/GAIN	VII	S(partial)
Coca Cola Co.	${f E}$	DIV	\mathbf{IV}	
Pepsico, Inc.	${f E}$	DIV/GAIN	VIII	S(partial)
Altria Group, Inc.	${f E}$	DIV/GAIN	VI	S(partial)
Procter & Gamble Co.	${f E}$	DIV/GAIN	v	S(partial)
Aetna, Inc., NEW	A	GAIN	VIII	\mathbf{s}

* All Assets listed are held in trust, and all transactions are initiated by the Trustee

Rodney P. Frelinghuysen is neither consulted nor gives advise regarding these investments.

Spouse does receive quarterly statements regarding this trust.

RODNEY P. FRELINGHUYSEN

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
General Electric Co.	${f E}$	DIV	\mathbf{IV}	
Emerson Electric Co.	${f F}$	DIV	V	
Parker - Hannifin Corp.	${f E}$	DIV/GAIN	VII	S(partial)
Hewlett - Packard	${f E}$	DIV	III	
International Business Machines	${f E}$	DIV/GAIN	IV	S(partial)
Microsoft Corp.	${f F}$	DIV/GAIN	VIII	S(partial)
BP PLC Sponsored ADR	${f A}$	DIV/GAIN	VII	\mathbf{s}
Exxon Mobil Corp	${f F}$	DIV/GAIN	VII	S(partial)
Royal Dutch Shell PLC ADR (Formerly, Royal Dutch Petroleum Co.)	${f E}$	DIV	v	
Union Pacific Corp.	E	DIV/GAIN	VIII	S(partial)
J P Morgan Chase & Co.	D	DIV	п	
Oakmark Int'l Fund CL 'I'	${f E}$	DIV	Ш	

RODNEY P. FRELINGHUYSEN

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Yum Brands, Inc.	${f E}$	DIV/GAIN	VI	S(partial)
Public Storage, Inc.	${f E}$	DIV	\mathbf{IV}	
Johnson & Johnson	D	DIV/GAIN	VI	S(partial)
Stericycle, Inc.	${f F}$	GAIN	VII	S(partial)
Colgate-Palmolive Co.	${f E}$	DIV/GAIN	VII	S(partial)
Diageo PLC ADR	D	DIV/GAIN	v	S(partial)
Apache Corp.	${f E}$	DIV	Ш	
Sussex Cty NJ - Cty College 3.75% due 9.01/2013	${f F}$	INT	v	
VIMAC IT Fund III LP	D	NONE	I	
Ishares Barclays 1-3 Yr Credit Bond	${f F}$	INT	\mathbf{v}	
Ishares Barclays Treasury - Inflation Protected Fund	A	INT/GAIN	VI	\mathbf{s}
Templeton Global Bond Fund Advisor CL	G	DIV	VII	P
Philip Morris Int'l	${f F}$	DIV/GAIN	VII	S(partial)

RODNEY P. FRELINGHUYSEN

<u>HOLDINGS</u>	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
National Oilwell VARCO, Inc.	D	DIV	m	S(partial)
SPDR Gold Trust	${f E}$	NONE	I	
Allianz NFJ Int'l Value Fund	${f F}$	DIV	v	
Absolute Strategies Fund Inst'l	G	DIV	IV	P
VIMAC IT III Annex Fund	D	NONE	I	
Dreyfus Gov't Cash Mgmt Inst'l SHS	${f F}$	DIV	Ш	S(partial)
Vanguard High-Yield Corp. fund	D	DIV	$\mathbf{v}\mathbf{I}$	S(partial)
State Steet Corp.	D	DIV	п	S(partial)
U.S. Bancorp(new)	D	DIV	IV	S(partial)
Ishares MSCI Emrg. Mkt Index Fund	${f F}$	DIV	IV	P
Thomas White Int'l Fund	${f F}$	DIV	\mathbf{IV}	
Thornburg Int'l Value Fund I	${f F}$	DIV	\mathbf{IV}	

RODNEY P. FRELINGHUYSEN

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Wisdomtree Int'l Reas Estate Index ETF	${f E}$	DIV	VI	P
Hussman Strategic Growth Fund	G	DIV	Ш	P
Pimco 1-5 Year US TIPS Index ETF	${f F}$	DIV	īv	P
Chubb Corp.	\mathbf{c}	DIV	I	P
Analog Devices	D	DIV	п	P
Exelon Corp.	\mathbf{C}	DIV	\mathbf{n}	P
Franklin Int'l Small Cap Growth ADV	${f F}$	DIV	IV	P
Tortoise Energy Infrastructure Corp.	${f F}$	DIV	vī	P

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity: H. S. Robinson Trust U/A dated 12/16/82, Fiduciary Trust Company, Boston, Ma. Trustee (spouse discretionary income beneficiary)*

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
J P Morgan Chase & Co.	D	DIV	п	
Coca Cola Co.	D	DIV/GAIN	VI	S(partial)
Altria Group, Inc.	D	DIV/GAIN	V	S(partial)
Abbott Labs	D	DIV/GAIN	VI	S(partial)
Johnson & Johnson	\mathbf{c}	DIV/GAIN	VII	S(partial)
Emerson Electric	D	DIV/GAIN	$\mathbf{v}_{\mathbf{I}}$	S(partial)

^{*} All Assets listed are held in trust, and all transactions are initiated by the Trustee

Rodney P. Frelinghuysen is neither consulted nor gives advise regarding these investments.

Spouse does receive quarterly statements regarding this trust.

RODNEY P. FRELINGHUYSEN

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
General Electric Co.	D	DIV/GAIN	VI	S(partial)
Hewlett - Packard	D	DIV/GAIN	VI	S(partial)
Exxon Mobil Corp.	${f E}$	DIV/GAIN	VII	S(partial)
Montclair NJ Gen. Oblig.	${f A}$	INT	ΓV	\mathbf{S}
Burlington Cty NJ OID	A	INT	v	S
AT&T, Inc. new	D	DIV/GAIN	VII	S(partial)
Oakmark Int'l Fund CL 'T	D	DIV	Ш	
Templeton Global Bond Fund	G	INT	VII	P
Diageo PLC ADR	${f A}$	DIV	ш	\mathbf{s}
Procter & Gamble Co.	D	DIV/GAIN	rv	S(partial)
Novartis AG ADR	D	DIV	IV	S(partial)
Absolute Strategies Fund Int'l	G	DIV	IV	P
Ishares Barclay 1-3yr. Credit Bond	${f F}$	INT	VI	•

RODNEY P. FRELINGHUYSEN

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Ishares Barclays Treasury - Inflation Protected Fund	${f A}$	INT/GAIN	VI	\mathbf{s}
Philip Morris Int'l	${f E}$	DIV/GAIN	VII	S(partial)
Apache Corp.	${f E}$	DIV/GAIN	IV	S(partial)
Royal Dutch Shell PLC ADR	${f E}$	DIV/GAIN	VI	S(partial)
Schlumberger LTD	D	DIV/GAIN	IV	S(partial)
SPDR Gold Trust	${f E}$	NONE	I	
Allianz NFJ Int'l Value Fund	${f F}$	DIV	IV	
Thomas White Int'l Fund	${f F}$	DIV	IV	
Diamond Hill Long/Short Fund CLT	A	GAIN	VI	\mathbf{s}
Hussman Strategic Growth Fund	${f F}$	DIV	п	P
Leuthold Core Investment Fund Inst'l CL	D	DIV	ш	S(partial)
Dreyfus Govt Cash Mgmt Instl SHS (Formerly, Dreyfus Inst'l Cash Advantage Fund)	${f F}$	DIV	п	P

RODNEY P. FRELINGHUYSEN

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Vanguard Short-Term Tax Exempt Fund	${f E}$	DIV	IV	
Vanguard High Yield Corp. Fund	D	DIV/GAIN	VI	S(partial)
Express Scripts, Inc.	${f E}$	GAIN	$\mathbf{v}_{\mathbf{I}}$	\mathbf{s}
Apple, Inc.	${f E}$	GAIN	VI	S(partial)
Google, Inc. CL'A'	${f E}$	GAIN	IV	S(partial)
S & P North American Tech Software Index Fund	D	GAIN	\mathbf{v}	S(partial)
Ishares Russell 2000 Value Index Fund	A	GAIN	VII	S
Ishares MSCI Emrg Mkt Index Fund	${f F}$	DIV/GAIN	VI	S(partial)
Thornburg Int'l Value Fund I	${f F}$	DIV	IV	
Wisdomtree Int'l Real Estate Index ETF	${f E}$	DIV	v	P
U.S. Bancorp	D	DIV	п	•
Pimco 1-5 Year US TIPS Index ETF	${f F}$	DIV	IV	P
Wal-Mart Stores, Inc.	\mathbf{C}	DIV	I	P

RODNEY P. FRELINGHUYSEN

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Chevron corp.	\mathbf{C}	DIV	I	P
Occidental Petroleum Corp.	\mathbf{C}	DIV	I	P
Chubb Corp.	\mathbf{C}	DIV	I	P
3M Company	\mathbf{c}	DIV	п	P
Expeditors Int'l of Washington, Inc.	D	DIV	П	P
Analog Devices	D	DIV	II	P
Exelon Corp.	D	DIV	m	P
Frontegra Netols Small Cap Value Instl	${f E}$	DIV	П	P
Walhausen Small Cap Value Fund	${f E}$	NONE	I	P
Franklin Int'l Small Cap Growth ADV	${f F}$	DIV	īv	P
Vanguard Emrg Mkts Index ETF	D	DIV	Ш	P
Tortoise Energy Infrastructure Corp.	${f E}$	DIV	\mathbf{v}	P

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded \$1,000. Include transactions that		Type ansac			Date			Am	ount	t of T	[ran:	sacti	on		
resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. If only a portion of an asset is sold, please so indicate (<i>i.e.</i> , "partial sale"). See example below.	PURCHASE	111	EXCHANGE	Check Box if Capital Gain Exceeded \$200	(MO/DAY/YR) or Quarterly, Monthly, or	A	1- a	ر. 00	01- 00 D	01- m 00	F	.001- .000	,001- 1,000	0,001-	J 000'0
Capital Gains — if a sales transaction resulted in a capital gain in excess of \$200, check the "capital gains" box and disclose this income on Schedule III.	PUR	SALE	EXC	Check Gain E	Bi-weekly, if applicable	\$1,001- \$15,000	\$15,001- \$50,000	\$50,001-	\$250,000	\$250,001-	\$500,001-	\$1,000,001-	\$5,000,001- \$25,000,000	\$25,000,001- \$50,000,000	Over \$50,000,000
SP, DC, JT Asset					10.10.10		Х				ļ -				
SP Example: Mega Corporation Common Stock (partial sale)		Х			10–12–10		^					14 S. (17 S.)		1 1 2	
See Continuation Sheets															
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RODNEY P. FRELINGHUYSEN

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
Various	Schwab U.S. Treasury Money Fund	P		В
02/02/10	White Mountains Insurance Group	P		\mathbf{C}
02/03/10	Park Ridge, NJ 3.875% Due 10/15/17	\mathbf{s}		D
02/03/10	U.S. T-Note 1% due 8/31/11	s	${f X}$	\mathbf{C}
02/05/10	ABB Ltd ADR	P		\mathbf{C}
03/15/10	White Mountains Insurance Group	\mathbf{s}	X	\mathbf{C}
06/17/10	White Mountains Insurance Group	\mathbf{s}	X	\mathbf{C}
08/10/10	Medco Health Solutions	P		\mathbf{C}
08/10/10	Plains Exploration & Production	\mathbf{s}		\mathbf{C}
08/24/10	Google, Inc.	P		В
09/01/10	Royal Dutch Shell ADR	P		В
09/02/10	U.S. T-Note 1% Due 8/31/11	\mathbf{s}	X	D
09/09/10	Procter & Gamble co.	S(partial)	X	${f E}$

RODNEY P. FRELINGHUYSEN

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
09/20/10	U.S. T-Note .75% Due 11/30/11	P		${f E}$
09/29/10	U.S. T-Note 1% Due 8/31/11	\mathbf{S}		\mathbf{C}
09/29/10	First Eagle Gold Fund CL'A'	P		D
10/01/10	U.S. T-Note 1% Due 8/31/11	\mathbf{s}		В
11/01/10	U.S. T-Note 1% due 8/31/11	\mathbf{s}		C
11/05/10	TJX Cos., Inc.	P		\mathbf{C}
11/09/10	U.S. T-Note .75% Due 11/30/11	S(partial)		\mathbf{C}
11/11/10	Cisco Systems, Inc.	P		\mathbf{C}
11/12/10	U.S. T-Note .75% due 11/30/11	S(partial)		\mathbf{C}
11/15/10	U.S. T-Note .75% Due 11/30/11	S(partial)		c
11/29/10	Chevron Corp.	P		\mathbf{c}
11/29/10	Encana Corp.	${f s}$		C
12/16/10	Medtronics, Inc.	\mathbf{s}	X	D

RODNEY P. FRELINGHUYSEN

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
12/17/10	Celgene Corp.	P		\mathbf{c}
12/31/10	First Eagle Gold Fund CL'A'	Re-Invest		${f A}$
12/21/10	Third Avenue Focused (TFCIX)	Re-Invest		under \$100
12/21/10	Third Avenue Focused (TFCIX)	Re-Invest		under \$1,000

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity: B. P. Frelinghuysen Trust U/A 4/3/73 Deutsche Bank, New York, NY Trustee (discretionary income interest)*

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
Various	Deutsche Bank Trust Money Market Acct	S(partial)		В

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity: B. S. Procter Trust U/A 5/31/60 F/B/O Rodney P. Frelinghuysen Peter Frelinghuysen & Rodney P. Frelinghuysen, Trustees

DATE		ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
Various	Daily Income Fund		S(partial)		${f E}$
02/04/10	R.H. Donnelly Corp.		Worthless Delivery		None
02/26/10	IMS health, Inc.		${f E}$	X	В

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
03/04/10	Ishares Barclays Treasury Inflation Protected Fund	s	X	D
03/10/10	Pimco 1-5 Year US TIPS Index ETF	P		D
03/23/10	Hussman Strategic Growth Fund	P		В
03/23/10	Tortoise Energy Infrastructure Corp.	P		\mathbf{C}
03/23/10	Aetna, Inc. NEW	S(partial)	X	\mathbf{C}
03/23/10	Microsoft Corp.	S(partial)	X	В
03/23/10	Parker-Hannifin Corp.	S(partial)	X	В
03/23/10	Stericycle, Inc.	S(partial)	X	В
03/23/10	Union Pacific Corp.	S(partial)	X	В
03/23/10	Colgate-Palmolive Co.	S(partial)	X	В

RODNEY P. FRELINGHUYSEN

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
03/23/10	Exxon Mobil Corp.	S(partial)	X	A
03/23/10	Pepsico, Inc	S(partial)	X	В
03/24/10	Vanguard High-Yield Corp. Fund	S(partial)	X	В
03/29/10	Templeton Global Bond Fund Advisor CL	P		\mathbf{c}
03/29/10	Franklin Int'l Small Cap. Growth ADV	P		В
03/29/10	Absolute Strategies Fund Inst'L	P		\mathbf{C}
06/11/10	BP PLC Sponsored ADR	S(partial)	X	A
07/08/10	Diageo PLC ADR	S(partial)	X .	В
07/08/10	Home Depot, Inc.	S(partial)	X	A
07/08/10	Philip Morris Int'l	S(partial)	X	A
07/08/10	Exxon Mobil Corp.	S(partial)	X	A
07/08/10	International Business Machines	S(partial)		A
07/08/10	National Oilwell VARCO, Inc.	S(partial)		${f A}$

RODNEY P. FRELINGHUYSEN

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
07/08/10	Pepsico, Inc.	S(partial)	X	A
07/08/10	State Street Corp.	S(partial)		A
07/08/10	Stericycle, Inc.	S(partial)	X	${f A}$
07/08/10	BP PLC Sponsored ADR	${f s}$	X	В
07/08/10	Colgate-Palmolive Co.	S(partial)	X	A
07/08/10	Johnson & Johnson	S(partial)	X	В
07/08/10	U.S. Bancorp (new)	S(partial)	X	\mathbf{A}
07/08/10	Microsoft Corp.	S(partial)	X	A
09/21/10	Ishares MSCI Emrg. Mkt Index Fund	P		В
09/21/10	Chubb Corp.	P		A
09/21/10	Analog Devices	P		В
09/21/10	Exelon Corp.	P		${f A}$
09/21/10	Tortoise energy Infrastructure Corp.	P		В

RODNEY P. FRELINGHUYSEN

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
09/21/10	Wisdomtree Int'l Real Estate Index ETF	P		В
09/21/10	Diageo PLC ADR	S(partial)	X	A
09/21/10	Parker-Hannfin Corp.	S(partial)	X	В
09/21/10	Procter & Gamble Co.	S(partial)	X	${f A}$
09/21/10	Altria Group, Inc.	S(partial)	X	${f A}$
09/21/10	Philip Morris Int'l	S(partial)	X	${f A}$
09/21/10	Pepsico, Inc.	S(partial)	X	A
09/21/10	Stericycle, Inc.	S(partial)	X	${f A}$
09/21/10	Aetna, Inc.	S	X	В
09/21/10	Home Depot, Inc.	S(partial)	X	В
09/21/10	Union Pacific Corp.	S(partial)	X	В
09/21/10	Exxon Mobil Corp	S(partial)	X	A
09/21/10	Johnson & Johnson	S(partial)	X	A

RODNEY P. FRELINGHUYSEN

DATE	<u>Asset</u>	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
09/21/10	Colgate Palmolive Co.	S(partial)	X	В
09/21/10	Microsoft Corp.	S(partial)	X	A
09/21/10	Yum Brands, Inc.	S(partial)	X	A
09/22/10	Franklin Int'l Small Cap Growth ADV	P		\mathbf{c}
09/22/10	Absolute Strategies Fund Inst'l	P		\mathbf{C}
09/22/10	Hussman Strategic Growth Fund	P		\mathbf{C}
various	Dreyfus Gov't Cash Mgmt. Instl SHS	S(partial)		\mathbf{c}

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
01/04/10	Montclair NJ Gen. Oblig.	\mathbf{s}		В
03/04/10	Ishares Barclays Treasury Inflation Protected Fund	${f s}$	X	D
03/10/10	Pimco 1-5 Year US TIPS Index ETF	P		D
03/23/10	Hussman Strategic Growth Fund	P		В
03/23/10	Walthausen Small Cap Value Fund	P		В
03/23/10	Tortoise Energy Infrastructure Corp.	P		\mathbf{C}
03/23/10	Leuthold Core Investment Fund Instl CL	S(partial)		${f A}$
03/23/10	AT&T, Inc.	S(partial)	X	\mathbf{C}
03/23/10	Diageo PLc ADR	s		В
03/23/10	S & P North American Tech Software Index Fund	S(partial)	X	A

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
03/23/10	Ishares Russell 2000 Value Index Fund	\mathbf{s}	X	D
03/23/10	Google, Inc. CL 'A'	S(partial)	X	A
03/24/10	Diamond Hill Long/Short Fund CLT	\mathbf{s}	X	В
03/24/10	Vanguard High Yield Corp. Fund	S(partial)	X	В
03/26/10	Absolute Strategies Fund Inst'l	P		В
03/26/10	Franklin Int'l Small Cap Growth ADV	P		В
03/26/10	Frontegra Netols Small Cap Value Inst'l	P		В
07/15/10	Burlington Cty NJ OID	\mathbf{s}		${f c}$
09/21/10	Frontegra Netols Small Cap Value Inst'l	P		A
09/21/10	Templeton Global Bond Fund	P		A
09/21/10	Walthausen Small Cap Value Fund	P		A

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
09/21/10	Chevron Corp.	P		A
09/21/10	Chubb Corp	P		A
09/21/10	Expeditors Int'l of Washington, Inc	P		${f A}$
09/21/10	Analog Devices	P		A
09/21/10	Exelon Corp.	P		В
09/21/10	Tortoise Energy Infrastructure Corp.	P		${f A}$
09/21/10	Wisdomtree Int'l Real Estate Index ETF	P		В
09/21/10	Coca Cola Co.	S(partial)	X	A
09/21/10	General Electric Co.	S(partial)	X	A
09/21/10	Ishares MSCI Emg MKT Index Fund	S(partial)	X	A
09/21/10	S & P North American Tech Software Index Fund	S(partial)	X	\mathbf{A}

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
09/21/10	Novartis AG ADR	S(partial)		${f A}$
09/21/10	Apple, Inc.	S(partial)	X	A
09/21/10	Emerson Electric	S(partial)	X	A
09/21/10	Express Scripts, Inc.	S(partial)	X	A
09/21/10	Hewlett-Packard	S(partial)	X	${f A}$
09/21/10	Procter & Gamble Co.	S(partial)	X	A
09/21/10	Royal Dutch Shell PLC ADR	S(partial)	X	A
09/21/10	Schlumberger LTD	S(partial)	X	A
09/21/10	Altria Group, Inc.	S(partial)	X	${f A}$
09/21/10	Apache Corp.	S(partial)	X	A
09/21/10	Philip Morris Int'l	S(partial)	X	${f A}$

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
09/21/10	Leuthold Core Investment Fund Instl CL	S(partial)		В
09/21/10	AT&T, Inc. new	S(partial)	X	В
09/21/10	Abbott Labs	S(partial)	X	A
09/21/10	Exxon Mobil Corp.	S(partial)	X	В
09/21/10	Johnson & Johnson	S(partial)	X	В
09/24/10	Absolute Strategies Fund Inst'l	P		\mathbf{c}
09/24/10	Franklin Int'l Small Cap Growth ADV	P		\mathbf{c}
09/24/10	Hussman Strategic Growth Fund	P		\mathbf{c}
10/07/10	Occidental Petroleum Corp.	P		A
10/07/10	3M Company	P		A
10/07/10	Vanguard Emrg MKT Index ETF	P		В

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
10/07/10	Wal-Mart Stores, Inc.	P		\mathbf{A}
10/07/10	Abbott Labs	S(partial)	X	A
10/07/10	Apache Corp.	S(partial)	X	${f A}$
10/07/10	Apple, Inc.	S(partial)	X	${f A}$
10/07/10	Coca Cola Co.	S(partial)	X	A
10/07/10	Emerson Electric Co.	S(partial)	X	${f A}$
10/07/10	Express Scripts, Inc.	\mathbf{s}	X	${f A}$
10/07/10	Exxon Mobil Corp.	S(partial)	X	A
10/07/10	General Electric Co.	S(partial)	X	A
10/07/10	Google, Inc. CL 'A'	S(partial)	X	${f A}$
10/07/10	Hewlett-Packard Co.	S(partial)	X	A

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER \$200	AMOUNT OF TRANSACTION
10/07/10	S & P North American Tech Software Index Fund	S(partial)	X	A
10/07/10	Novartis AG ADR	S(partial)		A
10/07/10	Philip Morris Int'l	S(partial)	X	A
10/07/10	Procter & Gamble Co.	S(partial)		${f A}$
10/07/10	Royal dutch Shell PLC ADR	S(partial)	X	A
10/07/10	Schlumberger LTD	S(partial)	X	A
10/08/10	Templeton Global Bond Fund	P		A
Various	Dreyfus Govt, Cash Mgmt Instl SHS	P		\mathbf{C}

Name	Rodnev	P.	Frelinghuvsen
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Page 44_ of 44_

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization				
Member, Advisory Board	El Primer Paso Ltd Morristown, NJ 07960				
	•				

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	
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	STATES HOUSE OF REPRESE YEAR 2010 FINANCIAL DISCLOSURE STA		FORM A Page 1 of 7 For use by Members, officers, and employee	
	Rush D. Holt (Full Name)		202-225-5801 (Daytime Telephone) T.T. A	s. rubi ha be tra bu and ND DEJUKERE
Filer Status	Member of the U.S. State: N.J. House of Representatives District: 12	─────────────────────────────────────	Officer Or Employing Office: Employee	A \$200 penalty shall be assessed against anyone who files
Report Type	Annual (May 15)	☐ Terminat	Termination Date: ion	more than 30 days late.
PRELIMIN	ARY INFORMATION - ANSWER EAC	CH OF THESE C	UESTIONS	
I. or more from	our spouse have "earned" income (e.g., salaries or fees) of \$2 in any source in the reporting period? splete and attach Schedule I.	Yes [v] No □	VI. Did you, your spouse, or a dependent child receive any rep the reporting period (i.e., aggregating more than \$335 and exempt)? If yes, complete and attach Schedule VI.	not otherwise Yes No 🛂
II. you for a spe	ridual or organization make a donation to charity in lieu of pay sech, appearance, or article in the reporting period? plete and attach Schedule II.	Yes No 🗸	VII. Did you, your spouse, or a dependent child receive any rep VII. reimbursements for travel in the reporting period (worth m from one source)? If yes, complete and attach Schedule VII.	ore than \$335 Yes V No
III. more than \$2 more than \$1	r spouse, or a dependent child receive "unearned" income of 200 in the reporting period or hold any reportable asset worth 1,000 at the end of the period? plete and attach Schedule III.	Yes 📝 No 🗌	Did you hold any reportable positions on or before the data VIII. current calendar year? If yes, complete and attach Schedule VIII.	e of filing in the Yes 🗹 No 🗌
IV. reportable as period?	r spouse, or dependent child purchase, sell, or exchange any set in a transaction exceeding \$1,000 during the reporting plete and attach Schedule IV.	Yes 🗌 No 🗸	IX. Did you have any reportable agreement or arrangement with entity? If yes, complete and attach Schedule IX.	th an outside Yes No 🗸
V. (more than \$	r spouse, or a dependent child have any reportable liability 10,000) during the reporting period?	Yes 🕢 No 🗌	Each question in this part must be answer	
		DUST INCOPA		
Trusts-	Details regarding "Qualified Blind Trusts" ap	proved by the Committe	TION ANSWER EACH OF THESE Q se on Ethics and certain other "excepted trusts" need no st benefiting you, your spouse, or dependent child?	· · · · · · · · · · · · · · · · · · ·
Exemptic	Ons- Have you excluded from this report any other	assets, "unearned" in	come, transactions, or liabilities of a spouse or depende	ent child

because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. Yes 📗 No 📝

SCHEDUL	_E I	- EA	RNED	INCOME
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Name Rush D. Holt

Page 2 of 7

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Туре	Amount
University Medical Center of Princeton	Spouse salary	N/A

Name	Rush	D.	Holi
Relife	1 /UOII	υ.	1 101

Page 3 of 7

	BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposite totaling \$5.000 or location in a negative any deposite totaling \$5.000 or location in a negative any deposite totaling \$5.000 or location in a negative any deposite totaling \$5.000 or location in a negative any deposite totaling \$5.000 or location in a negative any deposite totaling \$5.000 or location in a negative any deposite totaling \$5.000 or location in a negative any deposite totaling \$5.000 or location in a negative any deposite totaling \$5.000 or location in a negative any deposite totaling \$5.000 or location in a negative any deposite totaling \$5.000 or location in a negative any deposite any deposite totaling \$5.000 or location in a negative any deposite totaling \$5.000 or location in a negative any deposite any deposite totaling \$5.000 or location in \$5.000 or location in \$5.0		Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because It is generated income, the value should be "None."	Type of income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was samed or generated.	Transaction indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
JT	Community Bank	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
JT	Congressional Credit Union	\$1 - \$1,000	INTEREST	\$1 - \$200	
SP	Fidelity 457(b)	\$15,001 - \$50,000	·		
SP	Fidelity IRA	\$250,001 - \$500,000			
	Froelich Land Trust, 80-acre family farm share, Livingston County, IL	\$100,001 - \$250,000	Farm income	\$201 - \$1,000	
SP	Lancefield Farm Share, 400- acre family farm, Amity,OR	\$100,001 - \$250,000	Farm income	\$5,001 - \$15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME Page 4 of 7 Name Rush D. Holt SP \$15,001 -Prudential 403(b) \$50,000 Rental Cabins, AuSable Forks, \$100.001 -**RENT** \$5,001 - \$15,000 \$250,000 NY **RENT** \$5,001 - \$15,000 Rental House, Pennington, NJ \$100,001 -JT \$250,000 \$15,001 -SP TIAA IRA \$50,000 \$500,001 -SP TIAA/CREF 403(b) \$1,000,000 TIAA/CREF 401(6) \$250,001 -\$500,000 **Vanguard Windsor Retirement** \$100,001 -\$250,000 Fund 401(k) \$100.001 -**INTEREST** \$201 - \$1,000 JT Wachovia/Wells Fargo

\$250,000

SCHEDULE V - LIABILITIES

Name Rush D. Holt

Page 5 of 7

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	Wells Fargo Bank	Oct 2009	Mortgage on property AuSable Forks, NY	\$250,001 - \$500,000
JT	Wachovia/Wells Fargo	March 2007	Home Equity Loan, Pennington, NJ	\$250,001 - \$500,000
JT	Wells Fargo Bank	March 2007	Mortgage on Property, Pennington, NJ	\$250,001 - \$500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Rush D. Holt

Page 6 of 7

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure DestinationPoint of Return		Food? (Y/N)	Was a Family Member Included? (Y/N)	Days no <u>t at</u> sponsor's expense
Alliance for Healthcare Reform	Jan 15-18	Ft. Lauderdale, FL	Y	Y	Y	1 day
Aspen Institute Congressional Program	Aug 16-2	Whistler, BC, Canada	Υ	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Rush D. Holt

Page 7 of 7

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Name of Organization	
Planned Parenthood of Mercer Area	
Carnegie Institution for Science	
Family and Childrens Services of Central New Jersey	
Population Resource Center	
	Planned Parenthood of Mercer Area Carnegie Institution for Science Family and Childrens Services of Central New Jersey

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMEN	Form A For use by Members, officers, and employees	AND DELIVERED
	Time Telephone: 205-225-7919 U.S. HOUSE OF HER	PN 12: 07
		enalty shall be assessed yone who files more than te.
PRELIMINARY INFORMATION — ANSWER <u>EACH</u> OF T	THESE QUESTIONS	
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I. No	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes No V
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes No 🔽
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	VIII. Did you hold any reportable positions on or before the do of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes No V
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	IX. Did you have any reportable agreement or arrangement wan outside entity? If yes, complete and attach Schedule IX.	Yes No No
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes No If yes, complete and attach Schedule V.	Each question in this part must be a appropriate schedule attached for each	
EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST IN	FORMATION — ANSWER <u>EACH</u> OF THESE	QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because

they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Name HIDIO SINS Page 2 of 1

SCHEDULE I -- EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.

Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Туре	Amount
	Keene State	Approved Teaching Fee	\$6,000
	State of Maryland	Legislative Pension	\$9,000
xamples:	Civil War Roundtable (Oct. 2nd)	Spouse Speech	\$1,000
	Ontario County Board of Education	Spouse Salary	NA
	New Jersey STATE PENSION	Legislative Peusion	37,M
	West New YORK BOARD OF Education	Spouse's Tension	N/A
 -			

Name Albio Sines	Page of
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SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
	Association of American Associations, Washington, DC	Speech	Feb. 2, 2010	\$2,000
amples:	XYZ Magazine	Article	Aug. 13, 2010	\$500
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SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Page ____

Indicate value of asset at close of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b), any other than \$1,000 at the end of the reporting period, and (b), any other than fair market value, the end of the reporting period, and (b), any other than \$1,000 at the end of the reporting period, and (b), any other than fair market value, the end of the reporting period. Indicate value of asset at close of reporting year, if you use a valuation method other than fair market value, please specify the method used. Frovide complete names of stocks and mutual kinds (do not use fictor symbols.) Frovide complete names of stocks and mutual kinds (do not use fictor symbols.) Frovide complete names of stocks and mutual kinds (do not use fictor symbols.) Frovide complete names of stocks and mutual kinds (do not use fictor symbols.) Frovide complete names of stocks and mutual kinds (do not use fictor symbols.) Frovide complete names of stocks and mutual kinds (do not use fictor symbols.) Frovide complete names of stocks and mutual kinds (do not use fictor symbols.) Frovide complete names of stocks and mutual kinds (do not use fictor symbols.) Frovide complete names of stocks and mutual kinds (do not use fictor symbols.) Frovide complete names of stocks and mutual kinds (do not use fictor symbols.) Frovide complete names of stocks and mutual kinds (do not use fictor symbols.) Frovide complete names of stocks and mutual kinds (do not use fictor symbols.) Frovide complete names of stocks and mutual kinds (do not use fictor symbols.) Frovide complete names of stocks and mutual kinds (do not use fictor symbols.) Frovide complete names of stocks and mutual kinds (do not use fictor symbols.) Frovide complete names of stocks and name that one of the business that do not allow you to choose specific investments or culture that developed the power in the stock of the wild for the stock of the wild for the stock of the wild for the stock of the wild for the stock of the wild for the	BLOCK A	1					BLO	CK	В					į .				BLC	CK	CC	ı				BL	OCK	(D				ı	BLOCK E
of income with a first market value exceeding \$1,000 at the end of the reporting period, and (b) any other emportable asset or accurate of income which generated moderate from the end of the reporting period, and visiting the year. Provide complete names of atocks and matual thirds do not use lides expended. From the market of the complete names of atocks and matual thirds do not use lides expended in which you have use tides expended. From the state of a second of income which generated with the membral used. From the market of the complete names of atocks and matual thirds do not use lides expended. From the state of the complete names of atocks and matual thirds do not use lides expended. From the state of the complete names of the complete names of the business of the state of the expending period. From the state of the expending period. From the state of the expending period of the expending period. A B C D E F G H I J J K L I B III I V V V I V I V I V I V I V I V	Asset and/or Income Source				١	Valu	ie c	of A	SS	et				l		T	ур	e o	f In	ncome			A	mo	uni	t of	Inc	on	ne			Transaction
For all This and other retirement plans (such as 401t) appearant at a series fill-decord (C. provided in visually such that the specific meetings in the power of the asset generated in income. The value should be "None." Search Part	of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do	re m pi	epor neth leas	rting lod se s	ye oth pec	ear. er t	If y han he r	ou fai neth	use ir m nod	a nark use	valı et ' d.	uatio valu	on ie,	rei yo tha (si ma	tirer ou to at (uch ay	nent cho gene as che	ace ose erate 401 ck	cour e spe e ta (k) the	nts t ecific ix-d olan	that do not allow c investments <u>or</u> leferred income ns or IRAs), you None" column.	yo th as th in	ou to at g 3 40 e "N dica	ener ener 1(k) lone te 1	oose ate plan " col	e sp tax-c s or lumr cate	ecif defe IRA . Fo	rred as), y or all ry o	inco rou n other	tmer ome nay er a	nts (suc che asset ne l	or ch ck ts, by	purchases (P),
the name of the institution holding the account and its value at the end of the reporting period. For rental or other read properly held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of the activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting person); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and amplification that an asset or norm seconds; and amplification on the far lett. If you so choose, you may indicate that an asset or norm one success (SP) or dependent child (DC), or is pinity held with your spouse (JT), in the optional column on the far lett. For a detailed discussion of Schedule III requirements, pleases refer to the instruction booklet. If you so choose, you may indicate that an asset or norm one success (SP) or dependent child (DC), or is pinity held with your spouse (JT), in the optional column on the far lett. For a detailed discussion of Schedule III requirements, pleases refer to the instruction booklet. If you so choose, you may indicate that an asset or norm one success (SP) or dependent child (DC), or is pinity held with your spouse (JT), in the optional column on the far lett. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet. If you so choose, you may indicate that an asset or norm one success (SP) or dependent hold (DC), or is pinity held with your spouse (JT), in the optional column on the far lett. For a detailed discussion of Schedule III requirements, please refer to the instruction booklet. If you so choose, you may indicate that an asset or norm one success it had not you spouse (SP) or dependent hold (DC), or is pinity held with your spouse (JT), in the optional column on the far lett. For a detailed discussion of Schedule III requirements	plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retire-	please specify the method used. please specify the method used. please specify the method used. If an asset was sold during the reporting year and is included only because it generated income, the value should be in the retirede only please specify the method used. (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period. the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.									ed	-																				
wide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Excluder: Your personal residence, including sequenced in the private of the sectivities and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent shipping of the private of the p	the name of the institution holding the account and its value at the end of the reporting period.	A	B	С	D	E	F	G	н	1	J	к	L						} }			#		ÌV	٧	Vį	VII	VIII	ıx	x	ΧI	-
CC, Examples: Simon & Schuster Indefinite In	For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.	None	-	\$1,001 - \$15,000	1	\$50,001 -	\$100,001 - \$250,000	\$250,001 – \$500,000	\$500,001 - \$1,000,000	\$1,000,001 – \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL	EXCEPTED/BLIND TRUST	me or	None	\$1 - \$200		- \$1,001	501 -	\$5,001 - \$15,000	\$15,001 – \$50,000	\$50,001 + \$100,000	\$100,001 - \$1,000,000	\$1,000,001 - \$6,000,000	Over \$5,000,000	please indicate as follows: (S) (partial) See below for example. P, S,
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SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Albid SIRES Page 5 of 4

	BLOCK A Asset and/or Income Source					В Ye lue	ar-		ıd	et								BLC Ty of In	/pe)	e			Αn	iou		ock of		;on	ne			BLOCK E Transaction
SP, DC,		None	\$1 \$1,000	\$1,001 – \$15,000				\$250,001 - \$500,000 D	\$500,001 ~ \$1,000,000	Q	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Tana of Income	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	\$1 - \$200	\$201 - \$1,000	\$1,001 - \$2,500 ⋜					00	\$1,000,001 - \$5,000,000 X	Over \$5,000,000	P, Ø, E
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SCHEDULE IV— TRANSACTIONS

Name Albid 51805

Page 6 of 9

		— IRANSACTIONS															
or depend	ent child duri	iale, or exchange transactions by you, your spouse, ing the reporting period of any security or real prop-	of Tr	Type ansac	ction		Date			Am	oun	t of	Trans	sacti	on		
resulted in action. Exc dren, or the	a capital loss clude transac le purchase d	s. Provide a brief description of any exchange trans- tions between you, your spouse or dependent chil- or sale of your personal residence, unless it gener-			ui	Capital ed \$200	(MO/DAY/YR) or	A	В	С	D	E	F	G	H	ļ	J
ates renta cate (i.e., Capital G	l income. If o " partial sale " ains — if a s	nly a portion of an asset is sold, please so indi- "). See example below. ales transaction resulted in a capital gain in excess ital gains" box and disclose this income on Schedule	PURCHASE	SALE	EXCHANGE	Check Box if Capital Gain Exceeded \$200	Quarterly, Monthly, or Bi-weekly, if applicable	\$1,001- \$15,000	\$15,001- \$50,000	\$50,001-	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000
SP, DC, JT		Asset														,	
SP	Example:	Mega Corporation Common Stock (partial sale)		Х			10-12-10		Х								
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SCHEDULE V- LIABILITIES

Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

								Ame	ount o	f Liab	ility			
SP, DC, JT		Creditor	Date Liability Incurred Mo/Year	Type of Liability	\$10,001- \$15,000	\$15,001- \$50,000	\$50,001- C	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001- 6 \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001- \$50,000,000	Over \$50,000,000
	Example:	First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE			,	Х						
				NA										

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than \$335 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	\$345
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SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

mber of days <u>not</u> ponsor's expense	l? at	Was a Family Member Included? (Y/N)	Food? (Y/N)	Lodging? (Y/N)	City of Departure—Destination— City of Return	Date(s)	Source	
None	Ì	N	N	N	DC—Chicago—DC	Mar. 2	Chicago Chamber of Commerce	Examples:
2 Days		Υ	Υ	Υ	DC-Los Angeles-Cleveland	Aug. 6–11	Roycroft Corporation	LABITIPIES.
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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
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	10/18

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement	
		,	
		NR	